



Blind Comparisons Of Shear-Wave Velocities At Closely-Spaced Sites In San Jose, California

Proceedings of a Workshop held at the US Geological Survey, Menlo Park, May 3, 2004

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**U.S. DEPARTMENT OF THE INTERIOR
U.S. GEOLOGICAL SURVEY**

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PREFACE

Shear-wave velocities within several hundred meters of Earth's surface are important in specifying earthquake ground motions for engineering design. Not only are the shear-wave velocities used in classifying sites for use of modern building codes, but they are also used in site-specific studies of particularly significant structures. Many are the methods for estimating sub-surface shear-wave velocities, but few are the blind comparisons of a number of the methods at a single site. The word "blind" is important here and means that the measurements and interpretations are done completely independent of one another. Stephen Hartzell of the USGS office on Golden, Colorado realized that such an experiment would be very useful for assessing the strengths and weaknesses of the various methods, and he and Jack Boatwright of the USGS office in Menlo Park, California, in cooperation with Carl Wentworth of the Menlo Park USGS office found a convenient site in the city of San Jose, California. The site had good access and space for conducting experiments, and a borehole drilled to several hundred meters by the Santa Clara Valley Water District was made available for downhole logging. Jack Boatwright asked David Boore to coordinate the experiment. In turn, David Boore persuaded several teams to make measurements, helped with the local logistics, collected the results, and organized and conducted an International Workshop in May, 2004. At this meeting the participants in the experiment gathered in Menlo Park to describe their measurements and interpretations, and to see the results of the comparisons of the various methods for the first time. This Open-File Report describes the results of that workshop. One of the participants, Michael Asten, offered to help the coordinator prepare this report. Because of his lead role in pulling the report together, Dr. Asten is the lead author of the paper to follow and is also the lead Compiler for the Open-File Report.

It is important to recognize that most of the participants in the experiments contributed at their own expense. It is gratifying that many people recognized the importance of the experiment and were willing to volunteer their time and resources. We thank them for this effort.

This Report is organized in three parts: the first part is a paper summarizing the results of the Workshop, and presenting some conclusions regarding the various methods; the second part is a compilation of those documents describing the experiments that were presented at the meeting (a few of the reports have had minor post-meeting revisions, but with only one exception noted later, none of the models were changed). The final part of this Report is a compilation of the presentations from the meeting--- these are largely in the form of Powerpoint files. No attempt has been made by the compilers to edit the material in parts 2 and 3. It is included here as is for the benefit of the reader.

Workshop held at the US Geological Survey, Menlo Park, May 3, 2004

ATTENDEES: Michael Asten
Jack Boatwright
Jim Bay
Dave Boore
Carola di Allesandro
Alfredo Fernandez-Leon
Stephen Hartzell
Koichi Hayashi
Tom Holzer
Rob Kayen
Dominik Lang
Glenn Rix
Walt Silva
Rob Steller
John Tinsley
Carl Wentworth
Rob Williams

CONTRIBUTORS IN ABSENTIA

Jim Gibbs
Yin-Cheng Lin
W.J. (Bill) Stephenson
Ken Stokoe

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Asten, M.W. and Boore, D.M., Comparison of shear-velocity profiles of unconsolidated sediments near the Coyote borehole (CCOC) measured with fourteen invasive and non-invasive methods

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Method#	Authors	Title
1	Wentworth, C.M. and Tinsley, J.C.	Geology, stratigraphy and detailed Velocity Structure of the Coyote Creek borehole, Santa Clara Valley, California
4	Williams, R.A., Stephenson, W.J., Odum, J.K., and Worley, D.M.	P- and S-wave Seismic Reflection and Refraction Measurements at CCOC
5	Bay, J., Gilbert, J., Park, K., and Sasankul, I.	Shear Wave Velocity Profiling Using Spectral Analysis of Surface Waves (SASW) at William Street Park & Coyote Creek Borehole San Jose, CA
6	Kayen, R.	The spectral analysis of surface waves measured at William Street Park, San Jose, California, using swept-sine harmonic waves
7, 14	Stephenson, W.J.	Comparison of ReMi, and MASW Shear-wave velocity techniques with the CCOC borehole to 100 m, Santa Clara Valley
9	Hayashi, K.	The result of surface wave method in the Coyote Creek borehole (William Street Park)
10	Sungsoo Yoon, S., and Rix, G.	Active and passive surface wave measurements at the William Street park site, using f-k methods
11	Asten, M.W.	An assessment of information on the shear-velocity profile at Coyote Creek, San Jose, from SPAC processing of microtremor array data
12	Hartzell, S., Carver, D., Seiji, T., Kudo, K., and Herrmann, R. B.	Shallow shear-wave velocity measurements in the Santa Clara Valley; comparison of SPAC and FK methods
13	Lang, D.H. and Schwarz, J.	A contribution to the CCOC Blind Comparison Experiment

refers to Method number defined in Table 1, Part 1.

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Time	Paper#	Presenter	Files provided?	Topic
9:00A		Dave Boore		Welcome, introduction
9:15A	1	Carl Wentworth		history of SCVWD- USGS project
9:30A	1	John Tinsley	yes	geologic logging of CCOC hole
9:45A	1	Rob Steller	yes	P-S suspension logging surface source-dh
10:00A	2	Dave Boore (for Jim Gibbs)	yes	receiver logging
10:15A	3	Tom Holzer		SCPT
10:30A		break		
11:00A	5	Jim Bay	yes	SASW
11:15A	6	Rob Kayen		SASW
		Ken Stokoe & Yin-Cheng		SASW (tabled in absentia)
	8	Lin	yes	
11:30A	9	Koichi Hayashi	yes	MASW and SPAC hi-res
11:45A	4	Rob Williams	yes	reflection/refraction MASW/refraction- microtremor
12:00P	4,7,14	Rob Williams (for Bill Stephenson)	yes	
12:15P		lunch		
1:15P	10	Glenn Rix	yes	FK
1:30P	11	Michael Asten	yes	SPAC
1:45P	13	Steve Hartzell		FK and SPAC
2:00P	12	Dominik Lang		Ellipticity
2:15P		break		
2:45P	Part 1	Dave Boore	yes	Comparisons, Discussion
3:15P		Dave Boore		Discussion/Conclusions
4:00P		finish		

refers to Method number defined in Table 1, Part 1.

Part 4: Spreadsheet of all surface-wave models

Comparison of Shear-Velocity Profiles of Unconsolidated Sediments near the Coyote Borehole (CCOC) Measured With Fourteen Invasive and Non-Invasive Methods

Michael W. Asten¹ and David M. Boore²

2005

This paper is an extract from

Asten, M.W., and Boore, D.M., eds., Blind comparisons of shear-wave velocities at closely spaced sites in San Jose, California: U.S. Geological Survey Open-File Report 2005-1169. [available on the World Wide Web at <http://pubs.usgs.gov/of/2005/1169/>].

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ABSTRACT

Fourteen invasive and non-invasive geophysical methods are compared for the measurement of the shear-velocity (V_s) profile at or near a borehole at the Coyote Creek outdoor Classroom, Santa Clara Valley, California. The borehole with geophysical logs provided opportunity for a series of blind trials of methods for measurement of the V_s profile in thick, soft sediments. The various methods are important in the task of establishing shear-velocity profiles for purposes of earthquake hazard site classification in California. Results of the trials were presented at a one-day workshop at the US Geological Survey in May 2004; this paper summarizes results, relative depths of penetration and differences between the fourteen methods.

The borehole penetrates 300 m of Holocene and Pleistocene sediments but stops short of Franciscan Group basement at about 420 m depth. Invasive methods used were an OYO suspension logger for P- and S-wave (V_p and V_s) logs, a downhole geophone with surface air-driven hammer, and a seismic cone penetrometer. Fourteen noninvasive methods were used. A high resolution seismic reflection and refraction profile provided conventional V_p and V_s profiles to a depth of 85 m. Six active surface wave methods using hammer, weight drop and harmonic vibrator sources were employed, with data analysis methods including spectral analysis of surface wave (SASW), multi-channel surface wave (MASW) and frequency-wavenumber (F-K) methods. Six passive surface wave methods using both 2D and linear geophone arrays, and single-station horizontal:vertical particle motion measurements for observation of the microtremor background seismic noise field were used; these include two hybrid active-passive combinations.

Results of all methods are compared with a reference model derived from a smoothed version of the V_s suspension log; most methods yield acceptable values for an average shear velocity for the top 30 m (V_{s30}) ranging from 197 to 243 m/sec. The methods differ in their ability to resolve layering, in their depth of penetration, and their biases in V_s estimates. Only the high-resolution reflection data and one active surface wave method succeeded in clearly resolving a near surface low-velocity zone (depth 7-16 m). No non-invasive method resolved a deeper and more distinct LVL at 55-75 m depth.

Active surface wave methods provided V_s profiles to depths in the range 30-50 m. Active-passive hybrid methods achieved depths of 80-130 m. Passive methods provide V_s profiles to the Franciscan Group basement (420+ m) and appear to identify another interface at 1000 m depth. The majority of active surface wave methods show a bias relative to the V_s suspension log to high velocities at depths 15-30 m. Among the passive methods, the spatial autocorrelation (SPAC) processing method yields the least biased V_s estimates over intermediate depths 30-100 m, and provides a depth to bedrock consistent with that obtained from regional seismic and geological data.

INTRODUCTION

Measurement or estimation of the shear-velocity (V_s) profile of sediments overlying geological basement is a vital part of site zonation studies for earthquake hazard prediction, and more generally for geotechnical studies. A series of boreholes drilled in the Santa Clara Valley Water District provide opportunity for the comparison of geophysical methods with known geological data. This paper compares the shear-wave velocity profiles obtain from fourteen invasive and non-invasive methodologies obtained in and near a single 300 m borehole.

The selected site is CCOC (the Coyote Creek Outdoor Classroom). The geology and hydrology is summarized by Hanson et al (2002). The site is within 200 m of the William Street Park which provides abundant open space for layout of seismic arrays used in the comparisons described herein. Figure 1 (from Wentworth and Tinsley, 2005) and Figure 2 (from Williams et al, 2002) show the site relative to the Valley and the nearest basement structure.

The comparisons are grouped into four categories for invasive measurements, active-source seismic measurements, combined active-passive seismic, and passive seismic (ie microtremor) methods, totaling fourteen techniques listed in Table 1 below.

Results are plotted as both V_s and shear-slowness (S_s) in order to accommodate the needs of both convention (NEHRP standards, see eg. Dobry et al., 2000; BSSC, 2001) and of wave physics (slowness is the “natural” parameter affecting seismic energy travel times, and site response, as pointed out by Brown et al, 2002).

While the site of the borehole forming the reference for the study is the Coyote Creek Outdoor Classroom, the majority of surface-wave measurements were conducted (for access and space reasons) at the William Street Park, located 200 m south-west of the CCOC borehole (Figure 3). There is obvious potential for variation in seismic properties over this distance, but comparison of two active methods at both sites suggests variation in shear velocity in the top 30 m is below the uncertainty in estimates of the shear-velocity profile. On a larger scale, the William Street Park site is along strike from the CCOC borehole (where strike is defined by the NW-SE trending Silver Creek fault shown in Figure 1).

When comparing results (in this case V_s or S_s) derived from different methodologies the additional question arises as to which (if any) method should be used as a “reference”. For the purposes of this study we have adopted a smoothed version of the shear-wave suspension log as a reference, since it has depth coverage to 293 m, and has higher vertical resolution than all other tools. However that does not necessarily imply that it is the most “correct” representation of the shear-wave velocity profile; as Glenn Rix (personal communication) points out, “each type of seismic measurement is very different and captures a different aspect of the properties at the site. For example, the suspension PS logger, downhole test and surface wave test sample increasingly larger

volumes of soil. If the soil conditions are heterogeneous (which they most certainly are), each test will measure different values of velocity”.

**TABLE 1
SUMMARY OF SEISMIC METHODS APPLIED TO SHEAR-VELOCITY STUDIES AT THE
COYOTE CREEK & WILLIAM ST PARK SITES**

Method	Personnel	Description	Location
# INVASIVE METHODS			
1	CCOC Geological summary & Suspension log	Carl Wentworth, John Tinsley & Rob Steller	P and S-wave logs from surface to 300m, Coy. Creek borehole
2	Surface source, downhole receiver	Jim Gibbs	Coy. Creek borehole
2	Reinterpretation for detail	Gibs and Boore	Coy. Creek borehole
3	SCPT	Tom Holzer	Data collected at CCOC and WSP CCOC, WSP
ACTIVE SEISMIC METHODS			
4	High-resolution reflection/refraction	Rob Williams	60-channel linear array, 3 meter spacing CCOC - in William St. Park (WSP)
5	SASW	James Bay, J. Gilbert	Spec analysis of surface waves (SASW) CCOC, WSP
6	SASW	Rob Kayen	SASW with harmonic source WSP
7	MASW	Bill Stephenson	CCOC, GUAD boreholes, WSP
8	SASW	Ken Stokoe & Yin-Cheng Lin	CCOC, WSP
ACTIVE & PASSIVE SEISMIC METHODS COMBINED			
9	MASW & MAM with SPAC processing	Koichi Hayashi	Multichannel analysis of surface waves & microtremor array method (MAM) Coy. Creek-- park, 46m line Coy. Creek-- park, 40m triangle
10	FK processing	Sungsoo Yoon, Glenn Rix & Rob Kayen	Active test with linear array & Passive tests with circular arrays WSP WSP
PASSIVE MICROTREMOR METHODS			
11	MMSPAC	Michael Asten	Interpretation of data collected by R. Sell, H. Flores ditto Coy. Creek-- borehole, 60m triangle Coy. Creek-- park, 60m triangle Coy. Creek-- park, 300m triangle
12	Single-station HVSR	Dominic Lang	Horizontal/vertical particle motion ratio Coy Ck & WSP
13	FK and SPAC	Steve Hartzell, D. Carver	10-station array, 100m embedded triangle Coy. Creek-- park
14	ReMi	Bill Stephenson	Microtremors observed with linear array CCOC, GUAD boreholes, other?
ACRONYMS			
SASW	Spectral analysis of surface waves		
MASW	Multichannel analysis of surface waves		
MAM	Microtremor array method		
SPAC	Spatial AutoCorrelation method of processing seismic array data		
MMSPAC	Multi-mode SPAC		
F-K	Frequency-wavenumber method of processing seismic array data		
HVSR	Horizontal/Vertical spectral ratio method (on single station microtremor data)		
ReMi	Refraction microtremor method		
CCOC	Coyote Creek Outdoor Classroom		
WSP	William Street Park		

It is well known that Rayleigh-wave phase velocities are most strongly affected by V_s and thicknesses in a layered earth, with V_p and densities having a sufficiently small effect that these latter parameters are generally assumed as fixed values by interpreters rather than included as variables in an inversion scheme. However the choice of V_p does have a non-negligible influence on the outcome of inversions. Brown (1998) shows a comparison between V_s profiles computed from SASW data in sediments at strong motion sites in California using V_p computed from a fixed Poisson's ratio of 0.25 (equivalently a V_p/V_s ratio of 1.73), and using V_p (more correctly) set at a value of 1524 m/sec typical of saturated unconsolidated sediments. The interpreted V_s profiles, when using the erroneously low values V_p computed from the assumed fixed Poisson's ratio of

0.25, show a bias to high velocities ranging from 10% to 30% in the modeled depth range 10 to 60 m.

When the majority of these studies were conducted (2003 and early 2004) some of the authors were new to the methods, and some of the methodologies were significantly less advanced than they are at the time of this review. One obvious consequence is that authors contributing to this Report use varying assumptions for Poisson's ratio or V_p/V_s ratio; these assumptions are included with the summary of results in Table 2 at the end of this paper. We believe it is likely that the differing assumptions contribute in part to discrepancies between different methods, as discussed later in this paper.

INVASIVE MEASUREMENTS AT BOREHOLE CCOC

The reference tool used in this set of comparisons is a P and S-wave suspension log, acquired with an OYO tool over the full 300 m length of the hole. This is the same tool as that used in the prior study by Brown et al (2002) and described in Nigbor and Imai (1994). Wentworth and Tinsley (this volume, Part 2) provide a detailed discussion of the logs and the geology of this CCOC site. Figure 4a from Wentworth and Tinsley (2005) summarizes the P and S-wave logs together with basic geology. From Figure 2, bedrock of the Franciscan Group, shown by the magenta highlight on the west end of the seismic reflection profile, is expected at a depth between 400 and 450 m (Williams et al, 2002), ie. 100+ m below the bottom of the CCOC borehole.

The raw V_p and V_s suspension logs provide resolution at 0.5 m intervals which has application in identification of geological boundaries but proves noisy when used in subsequent comparison plots. The analysis by Wentworth and Tinsley shows that this scatter is *not* instrument noise but is related to real variations associated with fine-coarse grainsize variations. In Figures 4b and 4c the raw S-wave slowness log has been filtered with a 5-point running average (2.5 m resolution) which we use for comparisons in all plots from Figure 5 onwards. This smoothed S-wave log will be referred to as CCOC- V_{sm} in this paper.

Figures 4b and 4c also show (as yellow blocks) two layers identified as having low-velocity (high slowness). The upper of these two zones (depth 7 to 16 m) could equally be described as a layer below a thin relatively high velocity layer, but for convenience in subsequent discussion we refer to both the identified zones as low velocity layers (LVLs).

Note that in Figures 5 and onwards, for models extending to depths greater than 40 m, we show two plots, the first being to 40 m in order to highlight the top 30 m (because of the importance of that depth in classifying sites for use in the NEHRP building code), and the second being to depths equal to or exceeding the deepest depth of the model.

Figures 5a and b show downhole seismic data obtained using a surface air-hammer and borehole triaxial geophone (system described by Gibbs et al, 2002, and Liu et al, 1988). The maximum depth achieved was 185 m. Figure 5a shows the strictly blind interpretation, which after some discussion among Workshop participants was deemed to

have insufficient detail. A reinterpretation of the raw data was undertaken to provide more detail (see Gibbs and Boore, this volume, Part 3) Figure 5b shows the results of the reinterpretation; being done after May 2004 these data are not a blind test in the strictest sense. The correspondence between derived V_s and CCOC- V_{sm} shows a bias to high velocities in the upper 15 m, but is unbiased relative to CCOC- V_{sm} from 15 m to 185 m. The reinterpretation shows that the method achieves on the order of 5 m resolution in the upper 50 m, and 10 m resolution elsewhere. In particular the deeper of the two LVLs (55 to 75 m depth) is clearly resolved.

The seismic cone penetrometer (SCPT) method is described by Holzer et al (2005). The method is invasive while having the advantage of avoiding the cost of drilling a hole, but has a limitation that cone penetration depth may be limited if gravels or cemented sands are encountered. SCPT measurements were made at the CCOC hole, and at the William Street Park, reaching depths of 38 m and 20 m respectively. Figure 6 shows (SCPT) the interpretation of data acquired at the CCOC hole site. The measured V_s follows the CCOC- V_{sm} trend with resolution limited to 5-10 m in this example. Velocities appear biased of order 15 % high relative to CCOC- V_{sm} .

ACTIVE-SOURCE SEISMIC METHODS

The active source methods were conducted in the William Street Park (200 m SE of the CCOC hole).

A conventional seismic reflection/refraction survey was conducted with a spread of length 180 m, 3 m sensor spacing and 1 msec sample interval. Figure 7 shows the results plotted to depths of 30 m and 80 m (the depth to the deepest detected reflector) (Williams et al, this volume, Part 2). The plotted V_s shows no obvious bias relative to CCOC- V_{sm} , and successfully resolves a thin LVL at 7-16 m depth. However it does not detect the lower LVL identified on Figure 4b (55-75 m).

Figures 8 and 9 show interpretations for active SASW methods performed by two different practitioners at both the William Street Park site and the CCOC borehole site. The results from the pair of sites support the statement made earlier that there is no significant difference in V_s profile between the sites at depths between about 5 m and at least 30 m.

Figure 8 shows results from a SASW survey conducted using a weight-drop source and a linear array of geophones spaced at intervals from 3.3 to 15 m (Bay et al, this Volume, Part 2 and Part 3). Data were acquired both at the William Street Park and at the CCOC site. Observed dispersion data were processed both by iterative forward modelling using the Win-SASW software, and by direct inversion. Figure 8a shows the former which gives the preferred interpreted V_s profiles, and is depth-limited at 28 m. Within the uncertainty of the data there is no evidence for any significant difference between the V_s profiles at the two sites at depths greater than 3 to 5 m. The plotted V_s profiles show no obvious bias relative to CCOC- V_{sm} , but do not appear to resolve any detail of low or high velocity layers.

Figure 8b shows the corresponding V_s profiles using the same field data as for Figure 8a, but with the V_s profile obtained by automatic direct inversion; these results appear less stable, yielding a set of four thin near-surface high and low velocity layers which are not consistent with any other interpretation, and also yielding a bias to high velocities relative to CCOC- V_{sm} at depths greater than 20 m.

Figure 9a shows results from a SASW survey conducted using a harmonic source and linear array of geophones in William Street Park (Stokoe et al, this Volume, Part 3). Observed dispersion data was acquired with an impact source and processed using the WinSASW software as described in Brown et al (2002). The plotted V_s profile appears unbiased to 20 m, but does not resolve the LVL at 7-16 m. A clear bias to high velocities relative to CCOC- V_{sm} is apparent below 20 m. The corresponding interpretation at the CCOC site is shown in Figure 9b. The results and conclusions are similar, although the apparent bias to high velocities relative to CCOC- V_{sm} is less pronounced.

Figure 10 shows results from data acquired using a harmonic source and two 1 Hz Kinometrics geophones placed successively as a pair of geophones on each side of the source, to give a forward and reverse measurement of surface-wave velocity about a central point. Geophone spacings used ranged from 1 m to 64 m in order to cover the range of frequencies (wavelengths) of interest (Kayen, this Volume, Part 2). These data were interpreted using three algorithms. Figure 10 shows the result obtained using the inversion program WAVE-EQ (Hayashi and Kayen, 2003), which the authors rated as preferred while “blind” to the CCOC data, and it is evident that the results track the CCOC- V_{sm} in the upper 20 m essentially without bias, including resolution of the 7-16 m LVL. The zone 20 to 25 m depth shows a bias to high velocity relative to CCOC- V_{sm} . Figure 11 shows V_s profiles interpreted from the same data using two additional different algorithms WinSASW (Joh, 1996) and INVERSE.m (Lai and Rix, 1998); it is apparent that these algorithms result in a larger bias to high velocities relative to CCOC- V_{sm} at all depths below 20 m (ie towards the depth sensitivity limit of this active-source method).

Figure 12 shows results from data acquired using a linear spread of 4.5 Hz geophones spaced at 5 m, a 250 kg weight-drop source and multi-channel analysis of surface waves (MASW) (Stephenson et al, this volume Part 2; Williams and Stephenson, this Volume, Part 3). The MASW method has an intrinsic advantage of more data channels and therefore higher redundancy, and is able to identify higher-mode surface waves if present. The results in this figure show a greater useful depth range (to 90 m) than the SASW methods, but values of V_s show a small bias to high velocities relative to CCOC- V_{sm} in the top 40 m, and a significant bias below 50 m (where a LVL exists in the CCOC- V_{sm}).

ACTIVE-SOURCE & PASSIVE SEISMIC METHODS

Figure 13 shows results from a combined MASW and microtremor array method (MAM) survey (Hayashi, this Volume, Part 2). The array geometry is shown on the figure. Processing of data is described for MASW in Park et al (1999), and for microtremor data

using the SPAC method in Okada (2003). The useful frequency range is 6 to 40 Hz for MASW data and 2 to 9 Hz for microtremor data. Interpretation by inversion of Rayleigh-wave dispersion curves (Xia et al, 1999) yields V_s to depths of 180 m. The interpreted V_s profile shows bias to low velocities relative to CCOC- V_{sm} at depths less than about 10 m and depths below 70 m, with a bias to higher velocities at depths between about 10 and 40 m.

Figure 14 shows results (Yoon and Rix, this Volume, Part 2) from a combined active-source (harmonic oscillator) linear array (geophone spacings 2.4 to 33.5 m) and microtremor circular arrays (radii 30, 40 and 50 m). Both types of data sets were processed using frequency domain beam-forming (Johnson and Dudgeon, 1993; Rix et al., 2002). The useful frequency range is 4 to 70 Hz for active-source data and 2 to 8 Hz for microtremor data. Resultant phase velocities were inverted to a V_s profile using a constrained least squares inversion technique (Constable et al., 1987; Rix et al., 2002). The method detects a LVL in the vicinity of 10 m (although shallower than the known upper LVL) and provides a V_s profile to a depth of 130 m. We note the estimates V_s show bias to high velocities relative to CCOC- V_{sm} at depths below about 10 m, except for a 10 m range centered at 45 m.

PASSIVE SEISMIC (MICROTREMOR) METHODS

Figure 15 shows results (Asten, this Volume, Part 2) from a microtremor survey using a seven-station three-component nested triangular array (Hortencia Flores, personal communication) and processed using the multi-mode SPAC (MMSPAC) method of Asten et al (2004). The method uses iterative forward modeling to match field and model data in coherency space rather than phase velocity space. The MMSPAC analysis covers a frequency range of 0.3 to 20 Hz and the low frequencies combined with the large array size allow estimation of V_s to depths of 500-1000 m. Three-component data allows concurrent use of the horizontal:vertical spectral ratio (HVSR) method (Asten et al, 2004) which did not provide consistent results in this blind study due to errors in the assumption of 3000m for the depth to basement. The blind interpretation did however pick an interface at 420 m depth corresponding to the Franciscan Group bedrock shown in Figure 2. In the top 300 m of the interpretation the results appear consistent with the CCOC- V_{sm} reference model. Figure 16 shows an alternative blind model developed in order to match the HVSR although at cost of a poorer match to MMSPAC data.

Figure 17 is a re-interpretation of both MMSPAC and HVSR data after provision of basin geological data associated with Figure 2. It is *not* a blind interpretation, but is significant in producing a model which does combine both MSPAC and HVSR data into a consistent V_s profile model.

Figure 18 shows results (Hartzell et al, this Volume, Part 2) from a microtremor survey using a fifteen-station nested triangular array, processed using the SPAC method (Okada, 2003) to give phase velocities which are then inverted to a layered model using the method of Herrmann (2001). The SPAC analysis covers a frequency range of 0.8 to 7 Hz and allows estimation of V_s to a depth of 350 m. The method appears to have a bias to

high velocities relative to CCOC-Vsm at shallow depths 10 to 40 m but corresponds closely with CCOC-Vsm at deeper depths. It does not resolve the two LVLs at 7-16 and 55-75 m.

Figure 19 shows results from the same array data as Figure 18, but processed using F-K beam-forming (Hartzell et al, this Volume, Part 2) to obtain surface-wave phase velocities which were then inverted to a layered model as for Figure 18. The different array processing algorithm appears to have produced a systematic difference in the final layered-earth model, where estimates of the Vs profile at depths below 50 m are biased to values 7-11% higher than the SPAC-derived values.

Figure 20 shows results from a microtremor survey using a single-station three-component seismometer (Lang, this Volume, Part 2). The observed spectrum of horizontal:vertical particle motion ratio (HVSr) is matched to a modeled vertical-incidence shear-wave transfer function for a preferred layered-earth model using an inversion method described in Lang (2004) and Lang et al (2004). The instrument used is a Lennartz LE-3D/5sec seismometer which provides useful data over a frequency range of 0.2 to 40 Hz and allows estimation of Vs to a depth of 500+ m. The method applied blind had a significant bias to high velocities relative to CCOC-Vsm at all depths, but this data should be discarded due to electrical noise at the site used for data acquisition.

Figure 21 shows interpretation of a later HVSr data set by Lang. This is not strictly a blind study, but it shows how the method can yield a closer match to the borehole Vs profile, although at shallow depths 0 to 60 m significant bias to high velocities relative to CCOC-Vsm remains. It does not resolve the two LVLs at 7-16 and 55-75 m.

Figure 22 shows results from a microtremor survey (Stephenson et al, this volume Part 2) using a linear array of 4.5 Hz geophones at 5 m spacing, processed using the refraction microtremor (ReMi) method (Louie, 2001) to give phase velocities which are then inverted to a layered model using the method of Herrmann and Ammon (2002). The ReMi analysis covers a frequency range of 2 to 15 Hz and allows estimation of Vs to a depth of 100 m. The method yields a smooth Vs profile which appears unbiased relative to CCOC-Vsm, but does not resolve the LVLs at 7-16 or 55-75 m.

DISCUSSION AND CONCLUSIONS

There are four features of Vs profiles which are considered in an analysis of significance of results:

- Average Vs
- Ability to resolve layering (especially low-velocity layers)
- Depth of penetration
- Systematic bias if any in velocity estimates

TABLE 2
SUMMARY OF RESULTS OF SHEAR-VELOCITY STUDIES AT THE
COYOTE CREEK & WILLIAM ST PARK SITES

#	Method	Personnel	Maximum Depth (m)	Source of Vp	Vs30 (m/s)	Vs85 (m/s)	Vs185 (m/s)	Vs293 (m/s)
INVASIVE METHODS								
1	CCOC Geological summary & Suspension log	Carl Wentworth, John Tinsley & Rob Steller	293	measured	206	284	374	441
2	Surface source, downhole receiver	Jim Gibbs	185	measured	235	302	391	
2	Reinterpretation for detail	Gibbs and Boore	* 185	measured	233	301		
3	SCPT at CCOC	Tom Holzer	37	measured	236			
ACTIVE SEISMIC METHODS								
4	Hi-res reflection/refraction	Rob Williams	85	measured	219	305		
5	SASW	James Bay, J. Gilbert	30	Prat*=0.30 above WT** at 7.6 m.	213	WSP		
			30	Prat=0.30 above WT at 5.8 m.	228	CCOC		
6	SASW	Rob Kayen	32	Prat=0.33 above WT, =.48 below WT	197			
7	MASW	Bill Stephenson	100	Prat=0.33	220	346		
8	SASW	Ken Stokoe & Yin-Cheng Lin	38	Prat=0.33 above WT, Vp=1524 below WT (depth to wt varies from 2 to 3.2m)	205-231	WSP		
					202-211	CCOC		
ACTIVE & PASSIVE SEISMIC METHODS COMBINED								
9	MASW & MAM with SPAC processing	Koichi Hayashi	80	Prat ranging from 0.497 at surface to 0.473 at bottom of model	209	292		
				Prat = 0.20 above 6 m and 0.48 below 6 m, where 6 m is an assumed depth to the top of the water table				
10	FK processing	Sungsoo Yoon, Glenn Rix & Rob Kayen	130	Prat = 0.20 above 6 m and 0.48 below 6 m where 6 m is assumed depth to WT	222	321		
PASSIVE MICROTREMOR METHODS								
11	Multi-mode Spatial AutoCorrelation (MMSPAC)	Michael Asten	500	Prat=0.33 above assumed water table at 10 m	233	303	405	488
			* 1000	Prat ranges from 0.49 at 10 m to 0.33 at 420 m	230	298	401	484
12	Single-station HVSR	Dominic Lang	512	Prat=0.25	341	452	574	722
			* 512	Prat increases from negative values in top 4 m to 0.41 at 171m, then decr to 0.25 at 521 m	280	336	429	491
13	SPAC FK	Steve Hartzell, D. Carver	350	Prat=0.33	243	327	422	494
			350	Prat=0.33	263	343	453	534
14	Refraction-microtremor	Bill Stephenson	100	Prat=0.33	224	311		

* Result is a re-interpretation, ie. not strictly blind

*Prat = Poisson's Ratio

**WT = Water Table

Average Vs

Table 2 contains a summary of shear velocity logs averaged over up to four intervals, where we have chosen Vs30 (in keeping with current NEHRP standards), Vs85 (maximum depth resolved for Vs in a conventional surface seismic survey at the site), Vs185 (maximum depth achieved with a surface-borehole seismic survey) and Vs293 (maximum depth reached with a P-S wave suspension logger. Penetration depths and results of average Vs for fourteen techniques used in this study are summarized in Table 2.

The first conclusion is that for the basic Vs30 required under current building codes, all techniques, both active and passive, deliver a result within 15% of the chosen reference of the shear-wave suspension log (noting again caveats on the choice of the reference tool discussed in the Introduction to this paper). The exception to the 15% is the single-station HVSR method which shows a bias to higher velocity estimates of 30-60%.

Current understanding of site effects in earthquake hazard is that neither the average shear velocity nor the 30 m depth limit is sufficient for the purposes of adequate quantification of site response. Layering within the surficial sediments has a strong effect on modeled ground motion, eg Asten and Dhu (2004) show how a 5 m thickness of low-velocity silt (as distinct from an average velocity for uniform sands) alters site response by a factor of two at frequencies of order 5 Hz. With regard to depth of investigation, Joyner et al (1981) described the need for Vs to depths of a quarter-wavelength of

seismically relevant frequencies, which is of order 500 m at the CCOC site (frequency 0.3 Hz). It is therefore appropriate to review the results in terms of both resolution of layering, and total depth of penetration.

Detection of LVL

It is apparent from both the suspension log and the downhole receiver survey (Figure 5) that the CCOC hole contains two dominant low velocity layers of thickness 9 m and 20 m with tops at 7 and 50 m depths respectively. Three of the non-invasive seismic methods resolved the upper LVL, being high-resolution surface seismic reflection-refraction (Williams), SASW with a harmonic source and WAVE-EQ software (Kayen), and the use of a harmonic source with F-K analysis and direct inversion of the dispersion curve (Rix). The last of these may be questionable being depth-shifted and too shallow by 3 m (30%).

The lower LVL at 55 m depth is beyond range of the purely active surface-wave methods. None of the non-invasive seismic methods employed here succeeded in resolving either this LVL or the thin high-velocity layer of coarse gravels overlying it.

Depth of penetration

Obtaining shear-velocity data to depths of order 100 m was achieved by hi-res surface seismic (Williams), possibly by MASW (Stephenson) although bias is evident at depths greater than 50 m, and clearly by use of each of the passive methods. While information to 100 m is highly desirable, it is evident that the technology in active surface-wave methods as applied at this site is generally insufficient for obtaining Vs100.

Passive microtremor array methods using 2D arrays all proved effective in gaining depth penetration to 300+ m. The combination of an array of 300 m diameter, SPAC processing, and HVSr measurements correctly resolved Franciscan Group bedrock at a depth of 420-550 m, and may have resolved a further basement boundary at 1000 m depth (Asten), although no deeper P-wave impedance boundaries were imaged in the seismic profile at the projected location of CCOC (Figure 2).

Bias in array estimates and shear-velocity measurements

Among the active methods, significant bias to high velocities in the 15-30 m depth range affects the majority of active methods (Bay, Kayen, Stokoe, Rix, Stephenson MASW). The cause of discrepancies could lie with any or all of the source type (impulsive or harmonic), the processing algorithm, the surface-wave phase-velocity inversion algorithms used, and assumptions made regarding the P-wave velocity profile.

The potential importance of the P-wave velocity profile as established by Brown (1998) is noted in the Introduction of this paper. Table 2 includes a summary of assumptions regarding Poisson's ratio made by each author. Actual Vp/Vs ratios in the depth interval 10 to 40 m (Figure 4b) range from 8 to 5, and trend downwards with depth reducing to ratios of order 3 at the base of the hole. This would suggest that bias associated with use of low values of Vp/Vs will be greatest in the interval 10 to 40 m, a prediction consistent

with results of Hartzell (Figure 18). Stephenson (Figure 12) and Lang (Figure 20) also used low values of V_p/V_s and showed bias to high interpreted V_s velocities. However Bay (Figure 8), Kayen (Figure 10), Stokoe (Figure 9) and Rix (Figure 14) used high V_p/V_s appropriate to saturated sediments but still show a bias to high interpreted V_s velocities.

We conclude that choice of V_p/V_s may be a factor but is certainly not the sole factor affecting bias in interpreted V_s profiles, hence there is scope for further studies on data acquisition, processing algorithms and inversion software. SASW with a harmonic source combined with WAVE-EQ software for inversion (Kayen) provided the result with least bias in the V_s30 range.

In the intermediate depth range V_s85 , the results closest to the suspension log reference were obtained using SPAC processing of microtremor data (Hayashi, Asten).

The results of Hartzell provide an interesting example of differing bias in velocity estimates between SPAC and F-K processing of microtremor data, and point towards an explanation. The F-K data shows bias to high surface-wave phase velocities (Hartzell figure 3) and hence similar bias in interpreted shear-wave velocities at depths of 100+ m. The explanation lies with the different array processing algorithms when applied to multi-directional or omni-directional wave fields such as microtremor surface-wave energy. As shown in Asten (1976) the finite resolution of beam-forming methods such as F-K tends to smear azimuthal distributions of wave energy giving averaged estimates of a wavenumber vector which are biased to short wavenumbers, ie high velocities. By contrast the SPAC algorithm performs an azimuthal average of wave energy and thus performs best in giving unbiased estimates of phase velocities when wave propagation energy is widely distributed in azimuth.

The presence of incoherent noise if any in array data also affects SPAC and F-K array processing algorithms differently. To a first order such noise will not bias array estimates obtained with F-K processing, but it will reduce azimuthally averaged coherencies and hence bias velocity estimates obtained from the SPAC method to low values. Provided array data is screened to minimize use of records containing incoherent noise, the SPAC processing algorithm is superior for use with microtremor data. Okada (2003) provides a more detailed comparison of the merits of the two array processing methods and concludes that SPAC has the advantage of higher resolution for a given array diameter.

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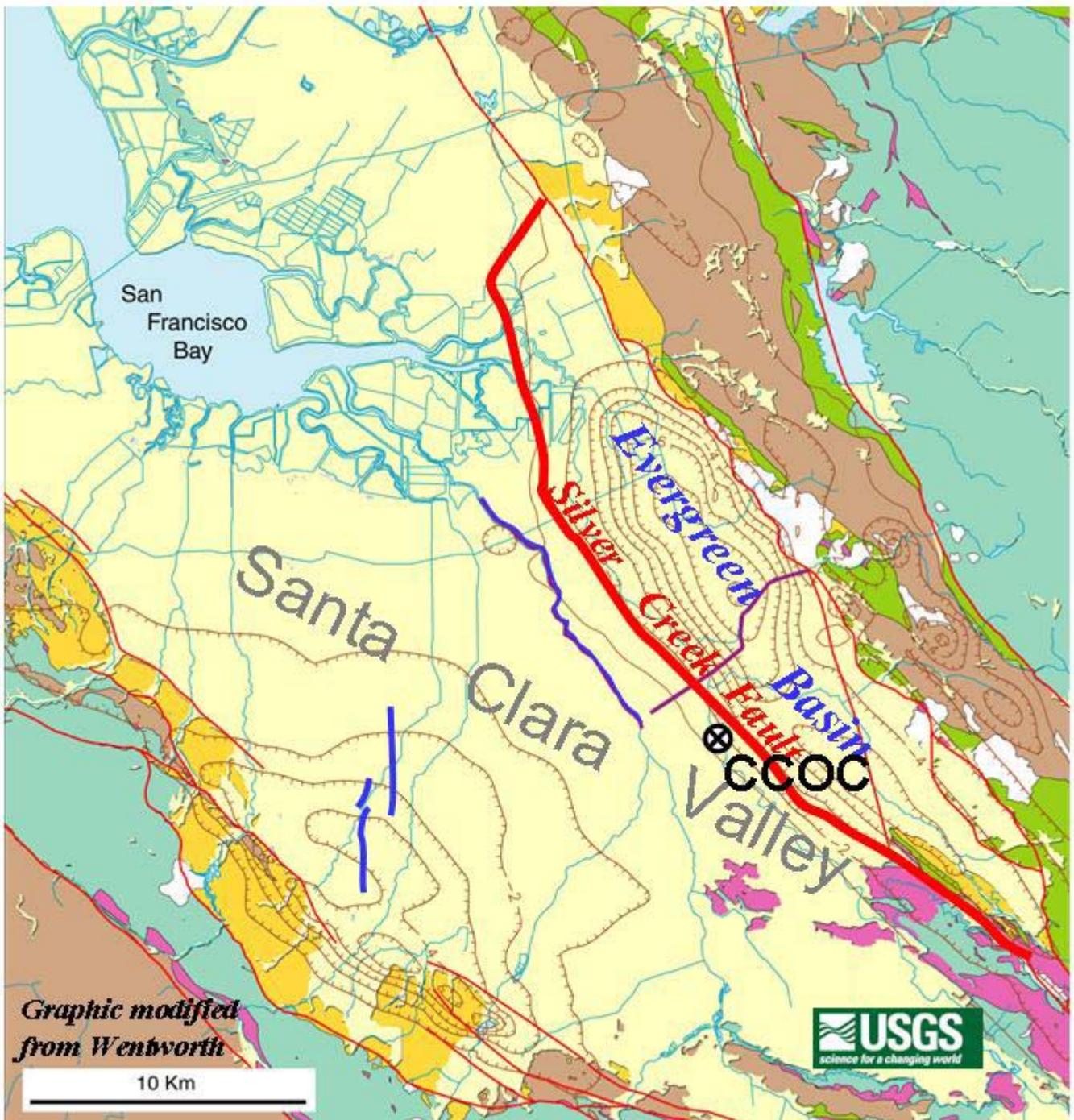


Fig. 1. Location of the Coyote Creek site, east of the Silver Creek fault, Santa Clara Valley (from Wentworth and Tinsley, 2005). The purple seismic line located north and north-east of the CCOC borehole is the location of the seismic section shown in Figure 2.

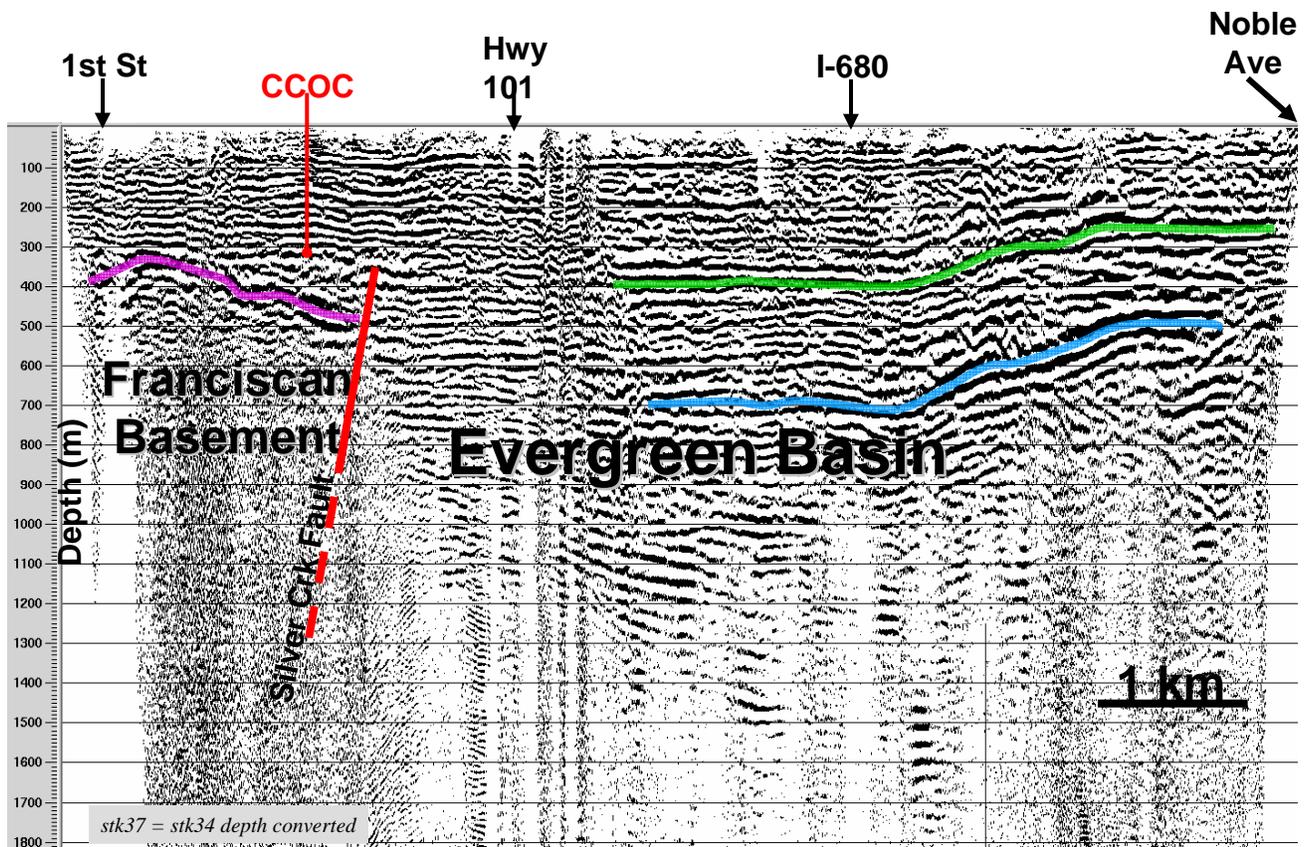


Fig.2. Regional seismic reflection data showing location of the Coyote Creek site, east of the Silver Creek fault (Williams et al, 2002). The location of the seismic profile is shown on Figure 1.

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WGS84 121.86667° W

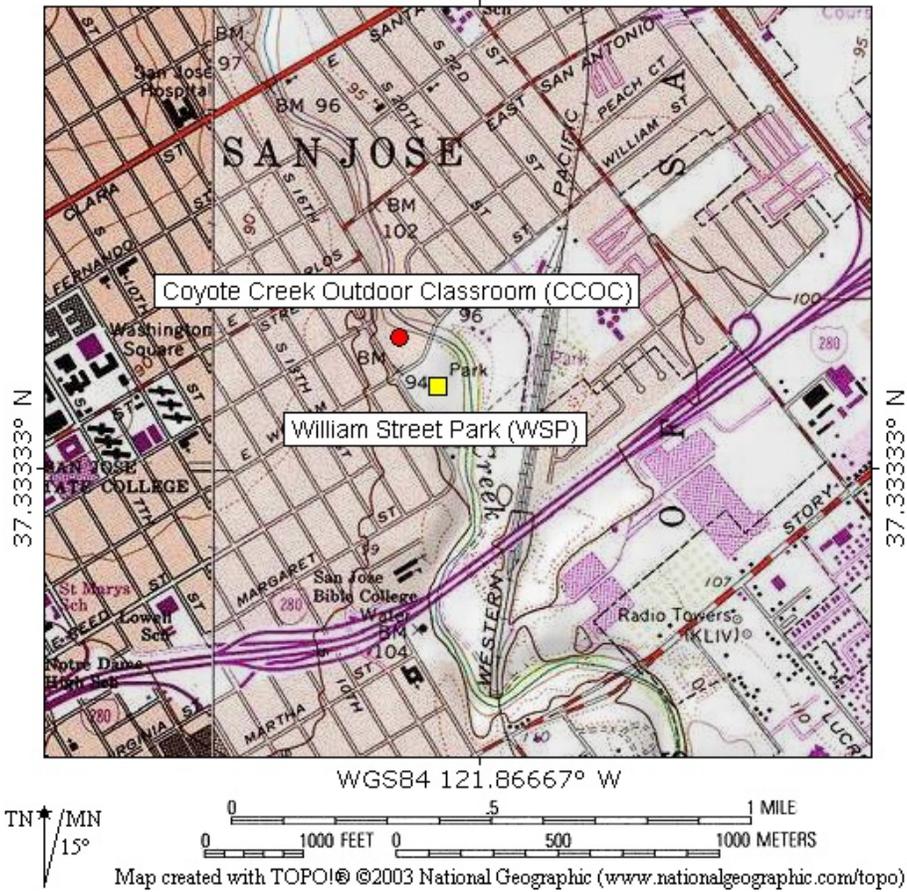
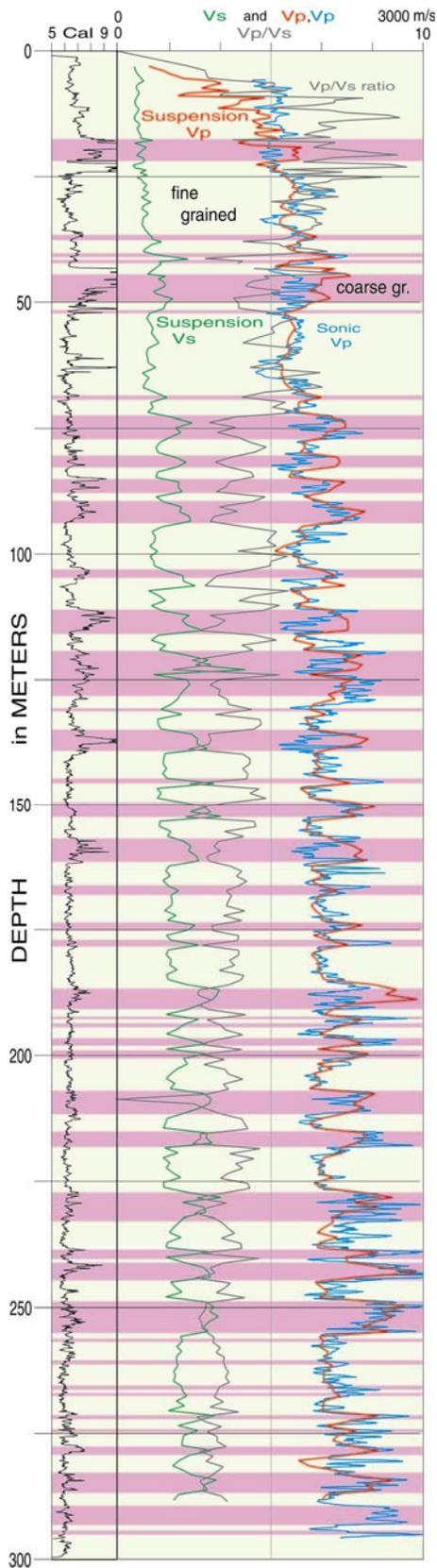


Figure 3. Location map for the blind comparison experiments.



COYOTE CREEK WELL (CCOC)
 Fig.4a. Hole CCOC, P and S-wave suspension logs, plus geology (from Wentworth and Tinsley, 2005).

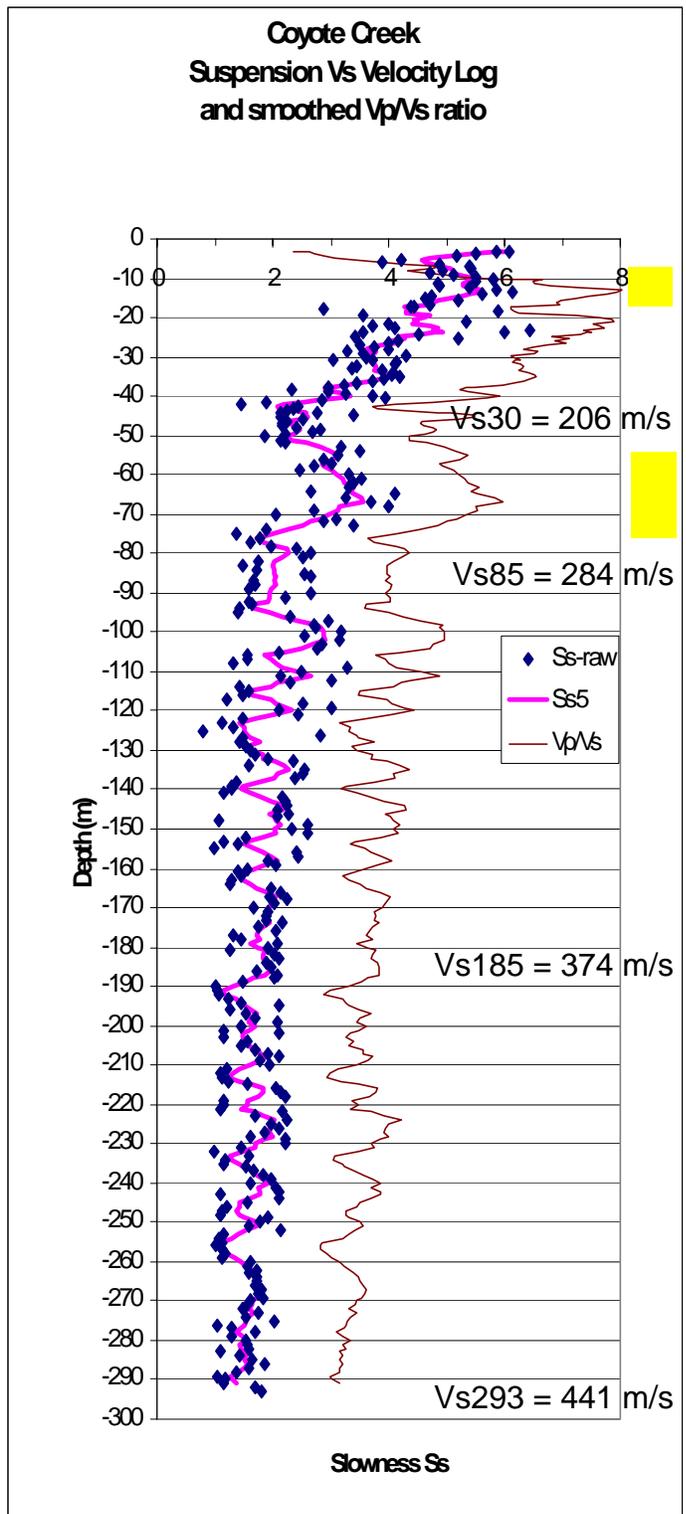


Fig.4b. Hole CCOC, S-wave suspension log plotted as raw values of slowness S_s , and (pink line) as values smoothed with a 5-point running average (CCOC-Vsm). Yellow blocks show two segments identified as low-velocity zones.

Brown line shows V_p/V_s ratio computed from smoothed P and S measured logs.

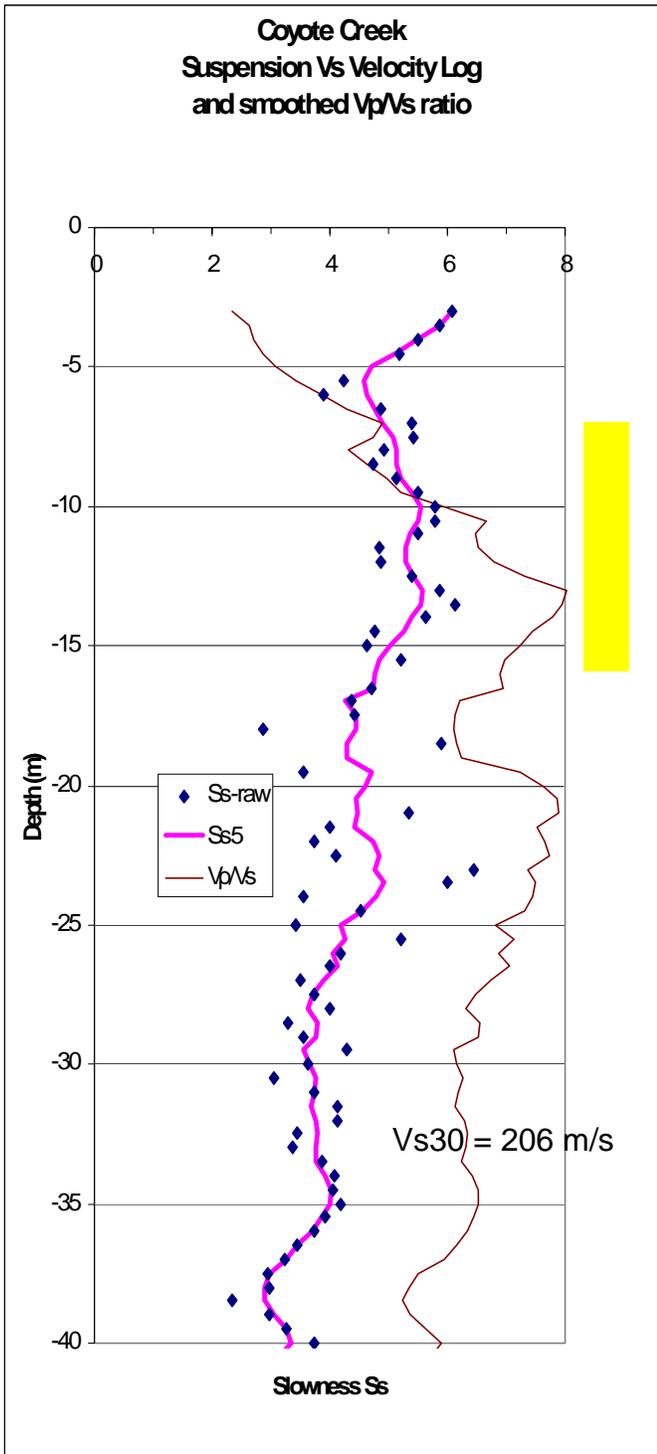


Fig.4c. The top 40 m of Hole CCOC, S-wave suspension log plotted as raw values of slowness Ss, and (pink line) as values smoothed with a 5-point running average (CCOC-Vsm). Yellow block shows the upper of two segments identified as low-velocity zones.

Brown line shows Vp/Vs ratio computed from smoothed P and S measured logs.

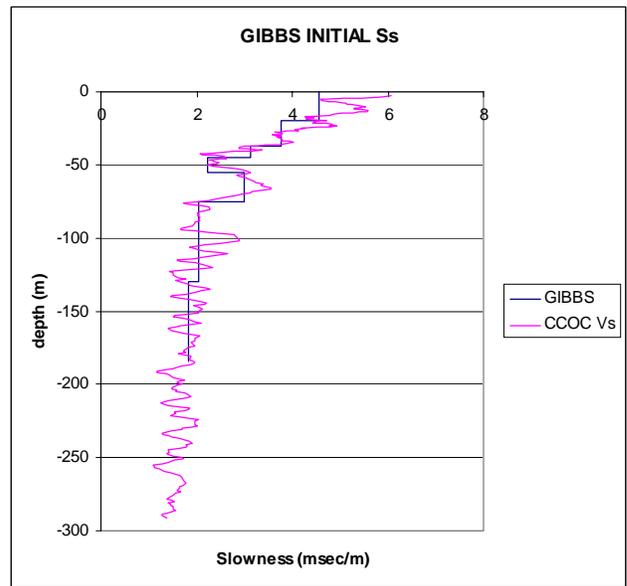
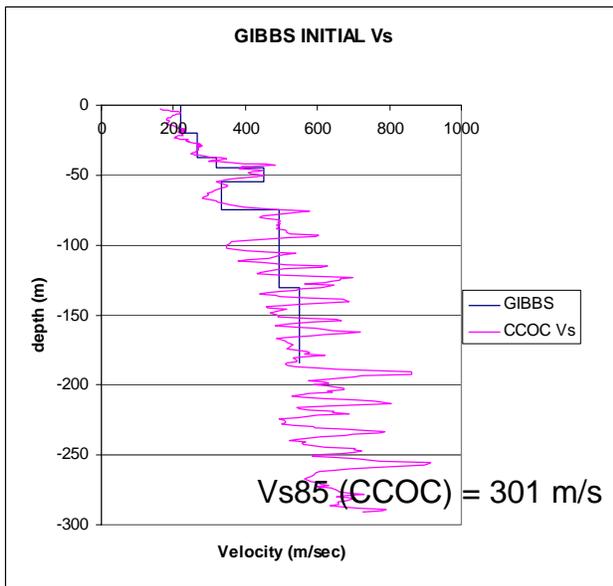
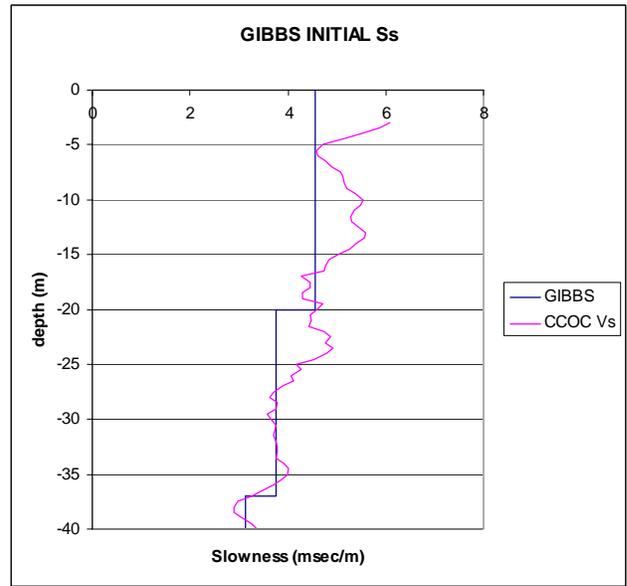
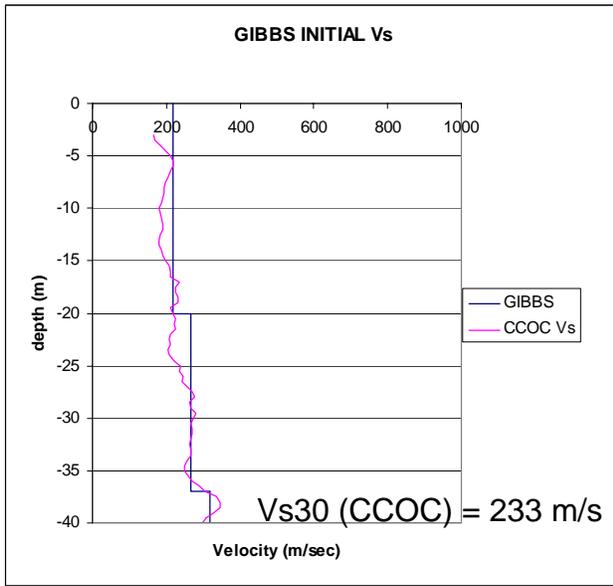


Fig. 5a. Vs computed from downhole seismic measurements using a borehole geophone (after Gibbs): initial blind interpretation, compared with CCOC-Vsm.

TOP: Upper 40 meters.

BOTTOM: Vs to maximum data depth 185 m.

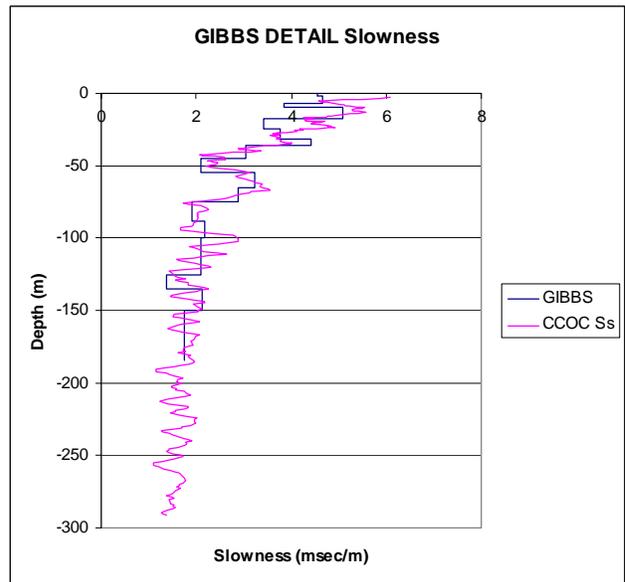
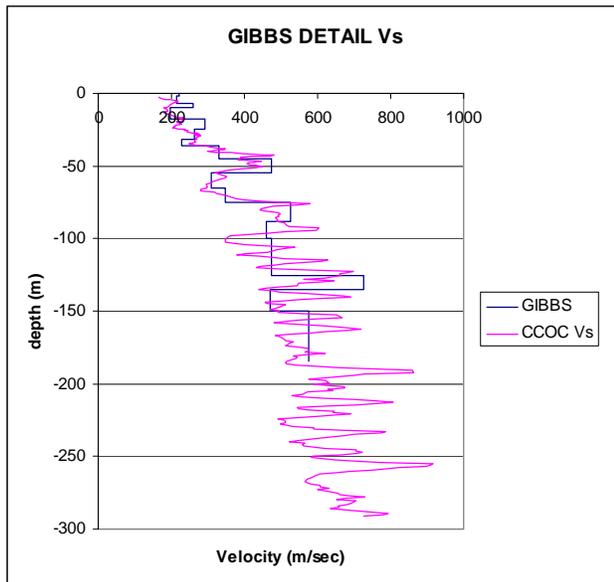
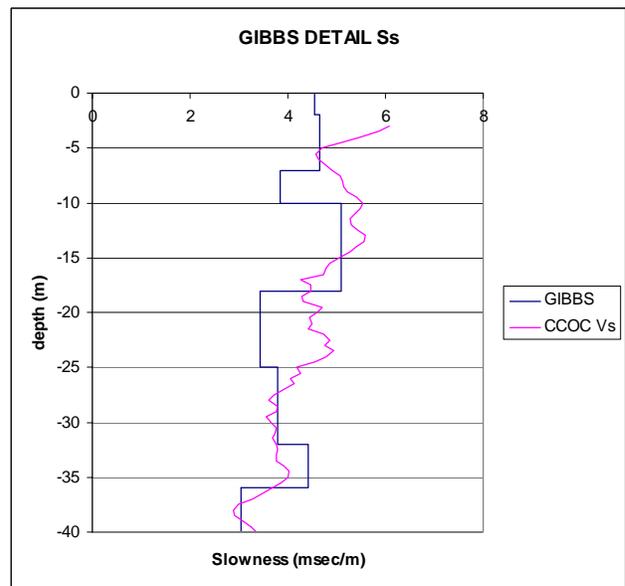
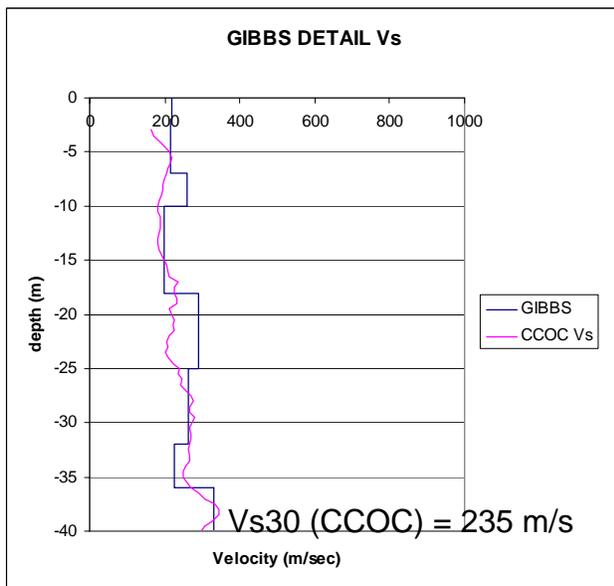


Fig. 5b. Vs computed from downhole seismic measurements using a borehole geophone; reinterpretation of data for increased detail. Pink line is CCOC-Vsm.

TOP: Upper 40 meters.

BOTTOM: Vs to maximum data depth 185 m.

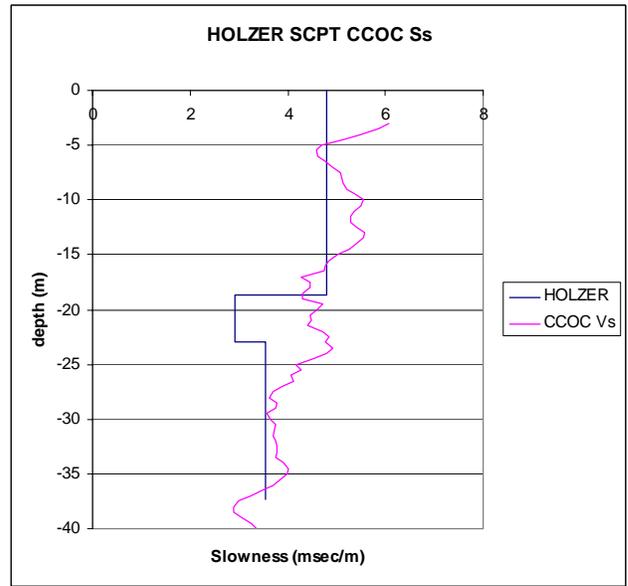
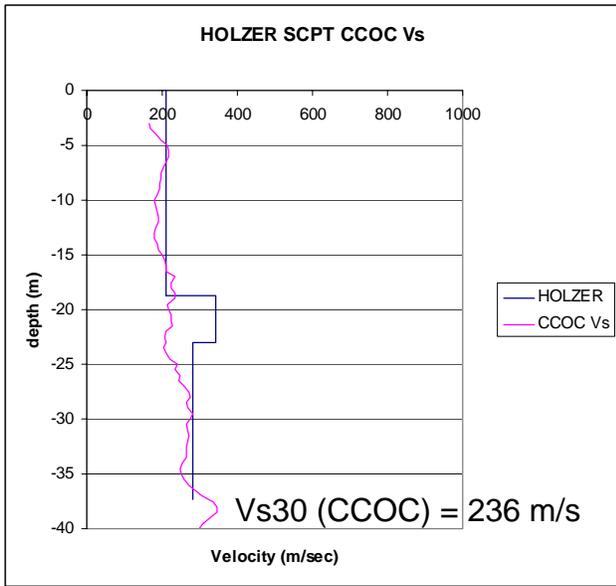


Fig. 6. Vs computed from SCPT measurements (after Holzer), compared with CCOC-Vsm.

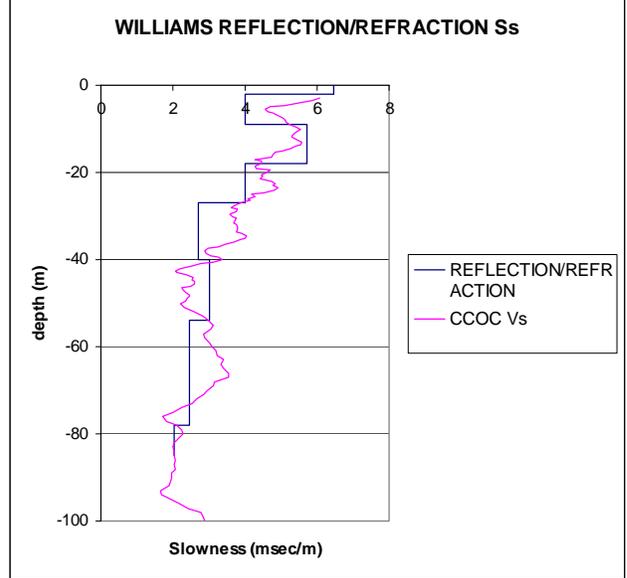
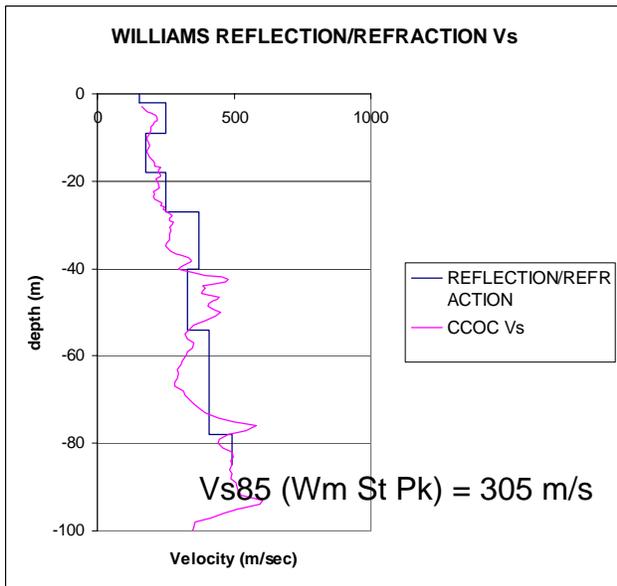
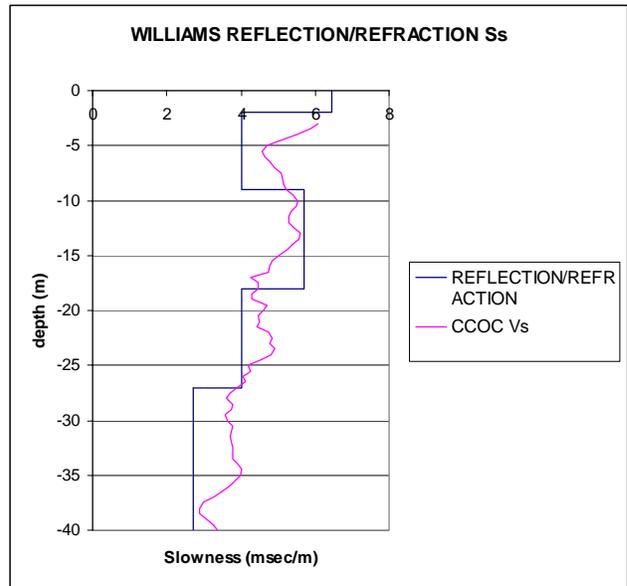
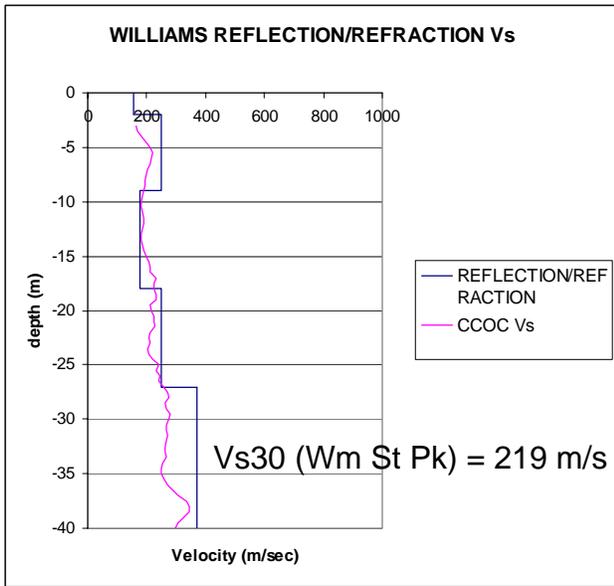


Fig. 7. Vs computed from reflection/refraction analysis of a 180 m spread in William St Park (after Williams), compared with CCOC-Vsm.

TOP: Upper 40 meters.

BOTTOM: Vs to maximum data depth 85 m.

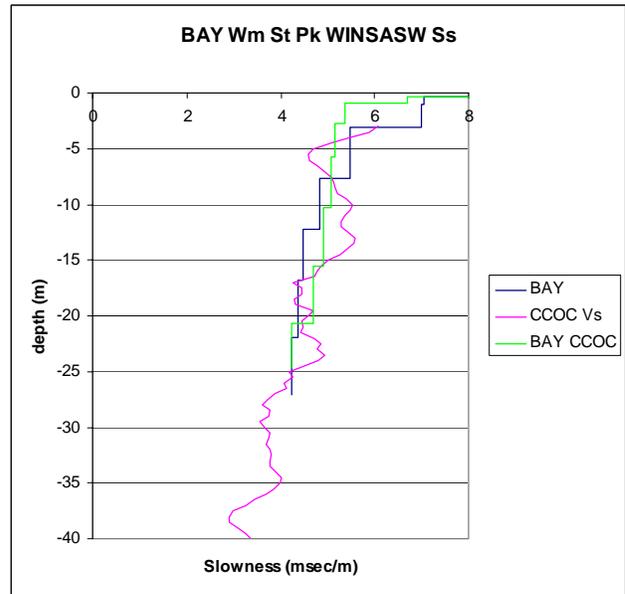
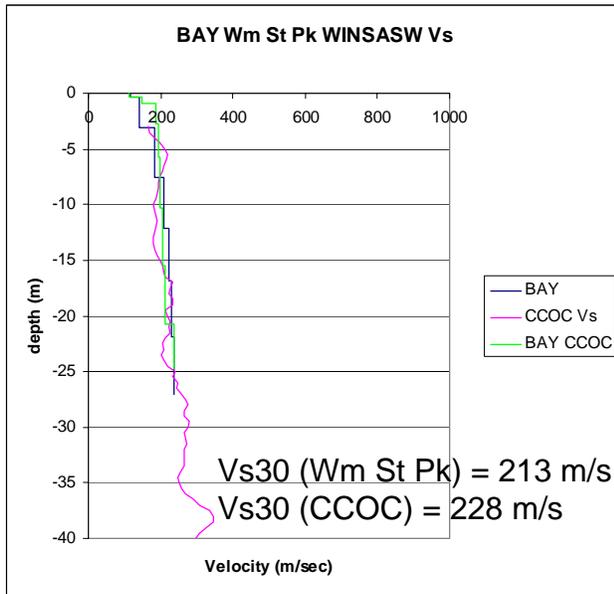


Fig. 8a. Vs & Ss computed from SASW measurements interpreted with Win-SASW (after Bay). Blue: William St Park, compared with (green) SASW at CCOC. Pink line is CCOC-Vsm.

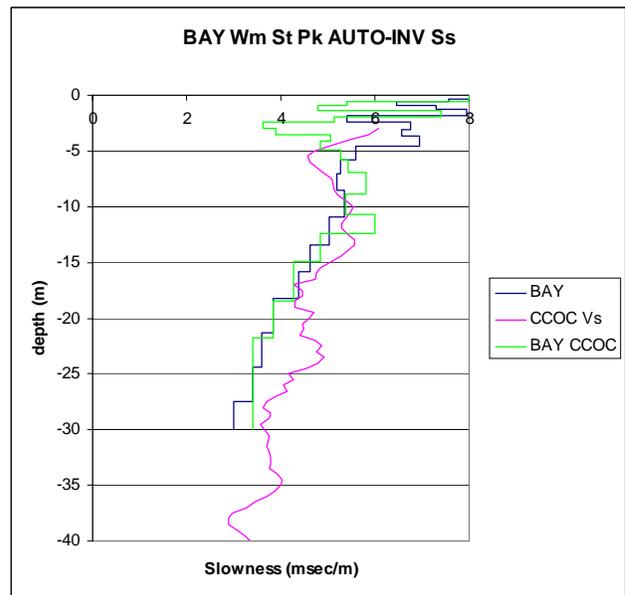
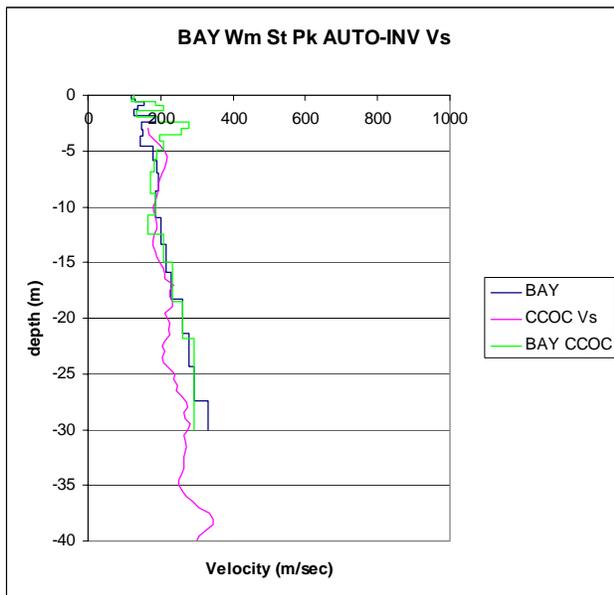


Fig. 8b. Vs & Ss computed from SASW measurements interpreted with automatic direct inversion (after Bay). Blue: William St Park, compared with (green) SASW at CCOC. Pink line is CCOC-Vsm.

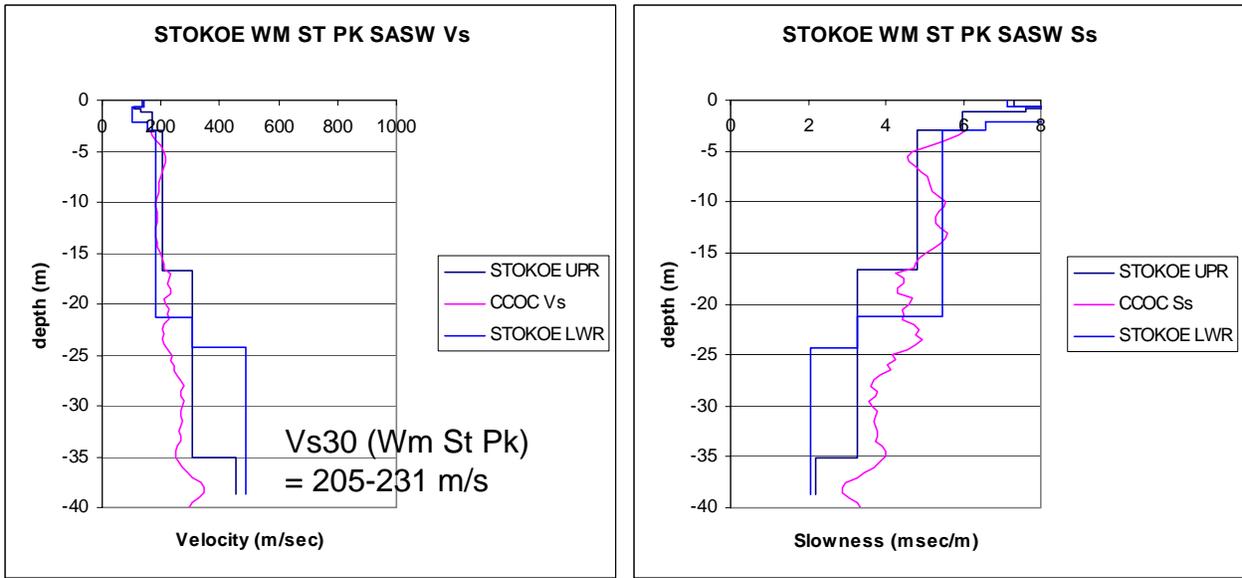


Fig. 9a. Vs & Ss computed from SASW measurements in William St Park (after Stokoe). The pair of blue lines represent interpreted upper and lower limits of Vs & Ss. Pink line is CCOC-Vsm.

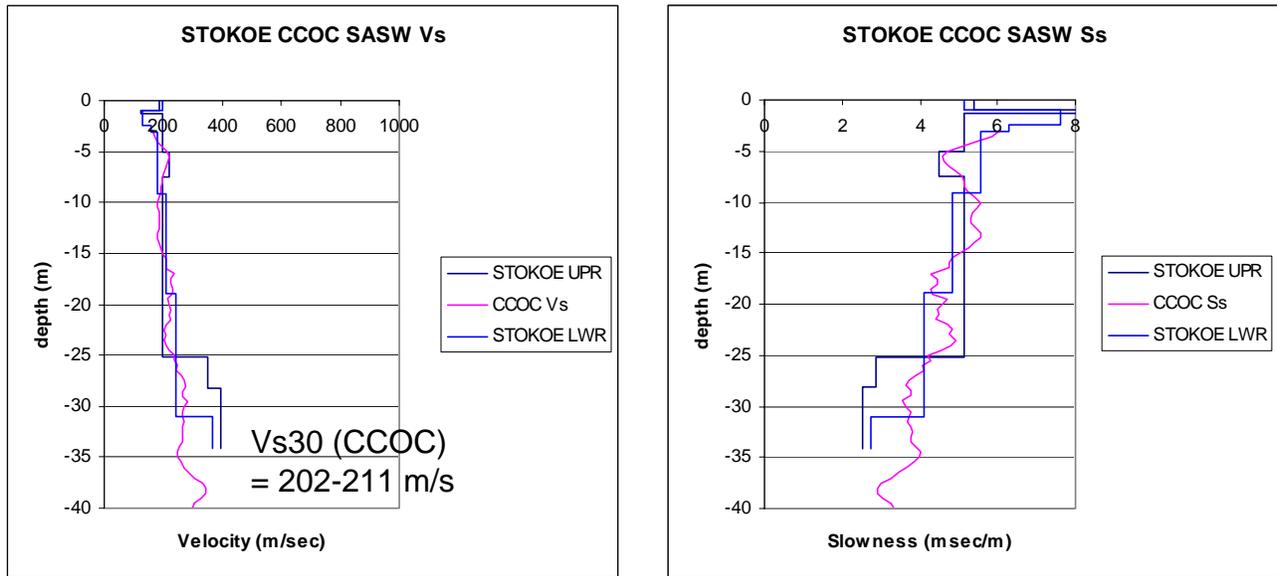


Fig. 9b. Vs & Ss computed from SASW measurements at the CCOC site (after Stokoe). The pair of blue lines represent interpreted upper and lower limits of Vs & Ss. Pink line is CCOC-Vsm.

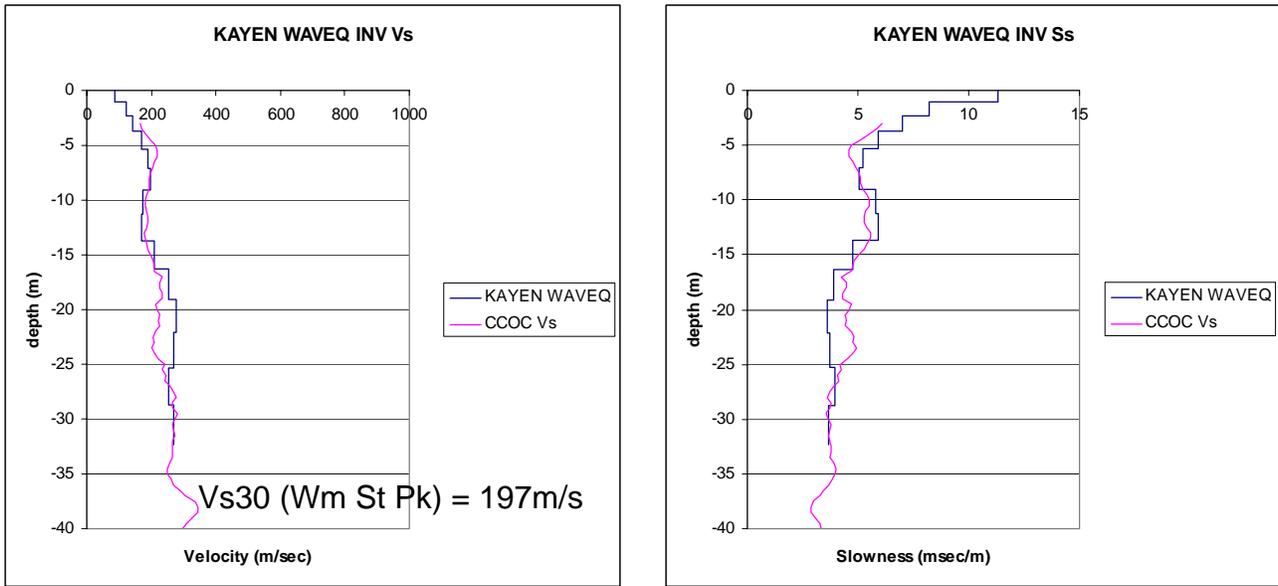


Fig. 10. Vs computed from harmonic-source SASW measurements interpreted with WAVE-EQ (after Kayen), compared with CCOC-Vsm.

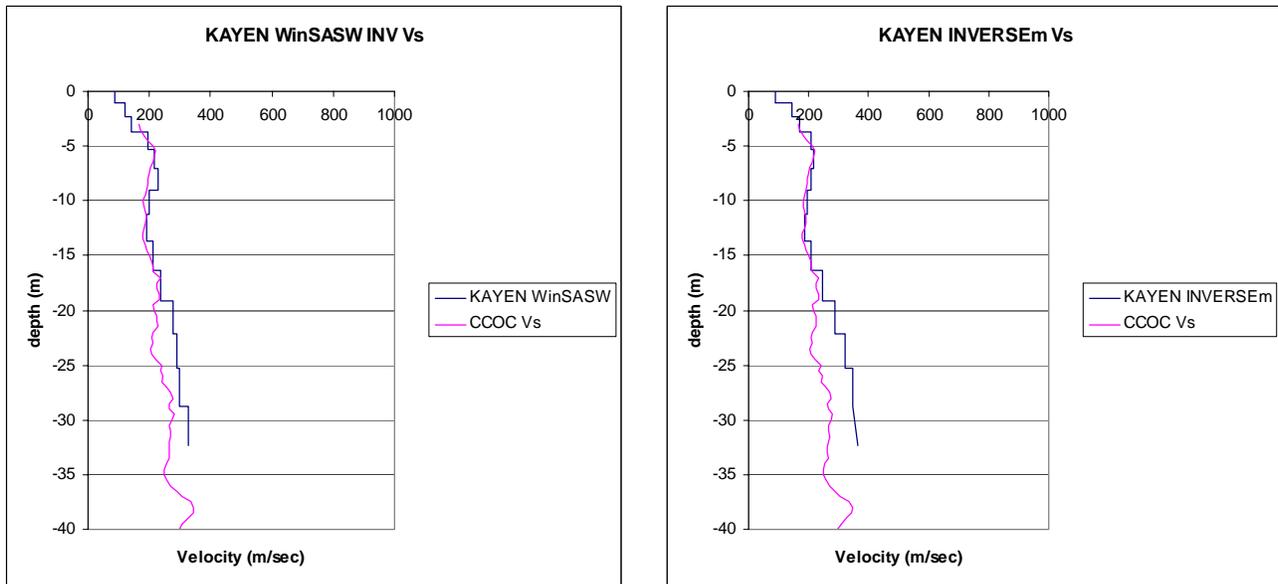


Fig. 11. Vs computed from harmonic-source SASW measurements; field data as for Fig. 8, but LEFT interpreted with software WinSASW, and RIGHT interpreted with INVERSE.m.

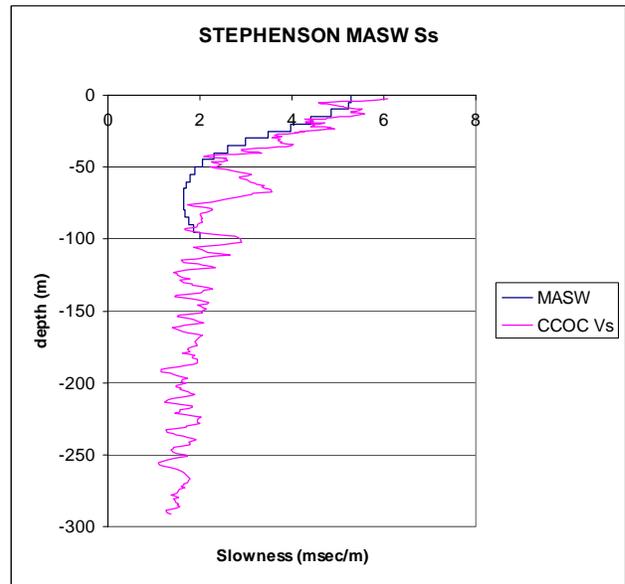
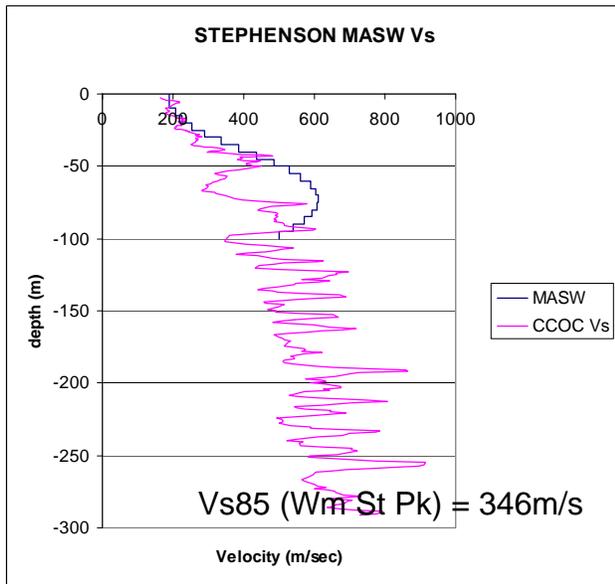
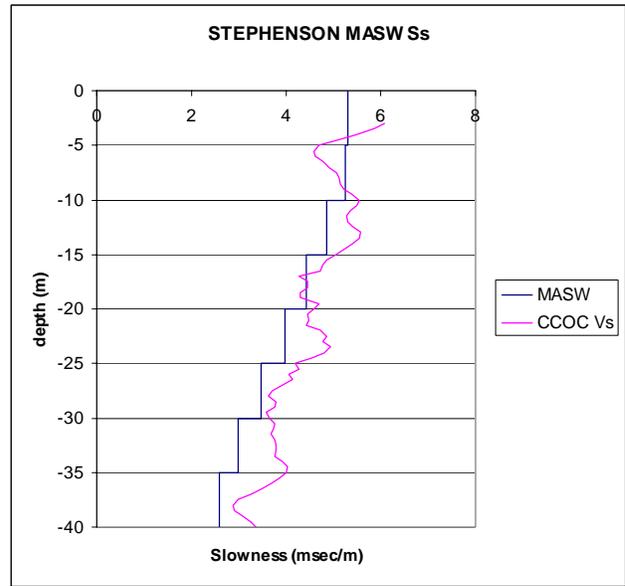
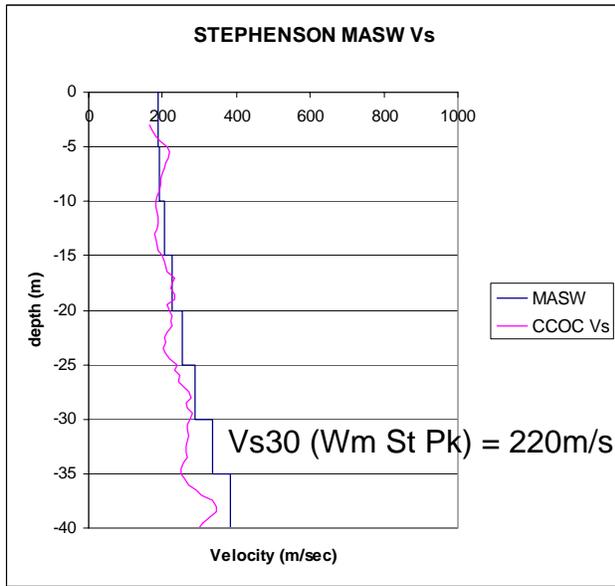


Fig. 12. Vs computed from MASW analysis of a linear spread in William St Park (after Stephenson), compared with CCOC-Vsm. (Compare also with passive ReMi method using the same array, Figure 22).

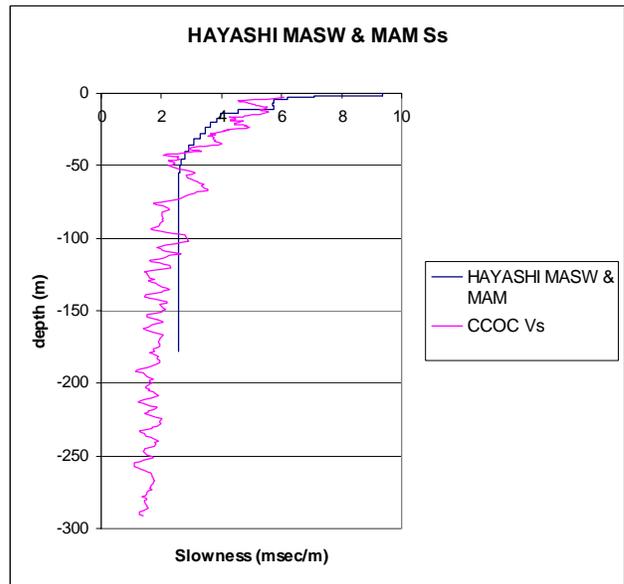
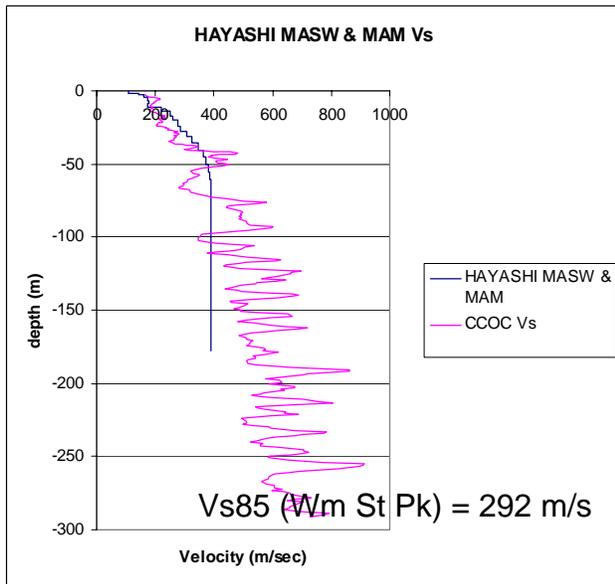
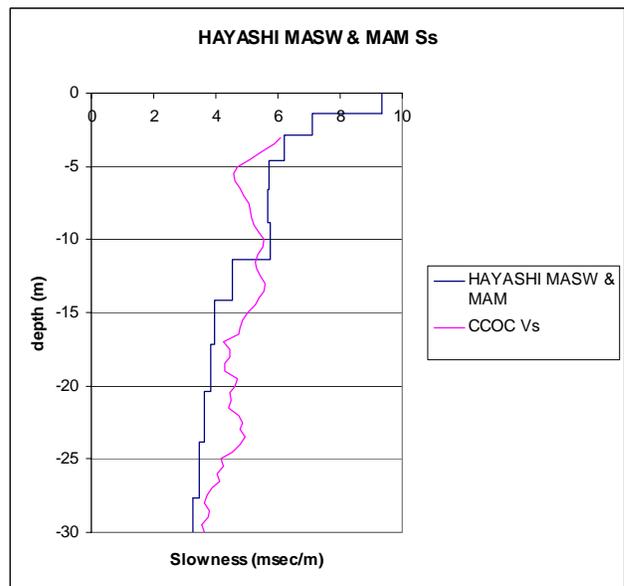
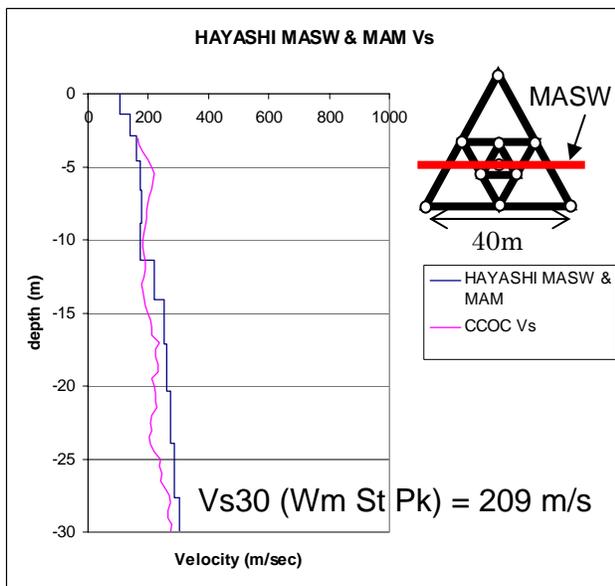


Fig. 13. Vs computed from combined MASW and passive MAM (after Hayashi) compared with CCOC-Vsm. Figure at top left shows the survey line used for MASW (2 m geophone spacings, 2 m hammer source positions) and the layout of a ten-station nested triangular array for microtremor measurements.

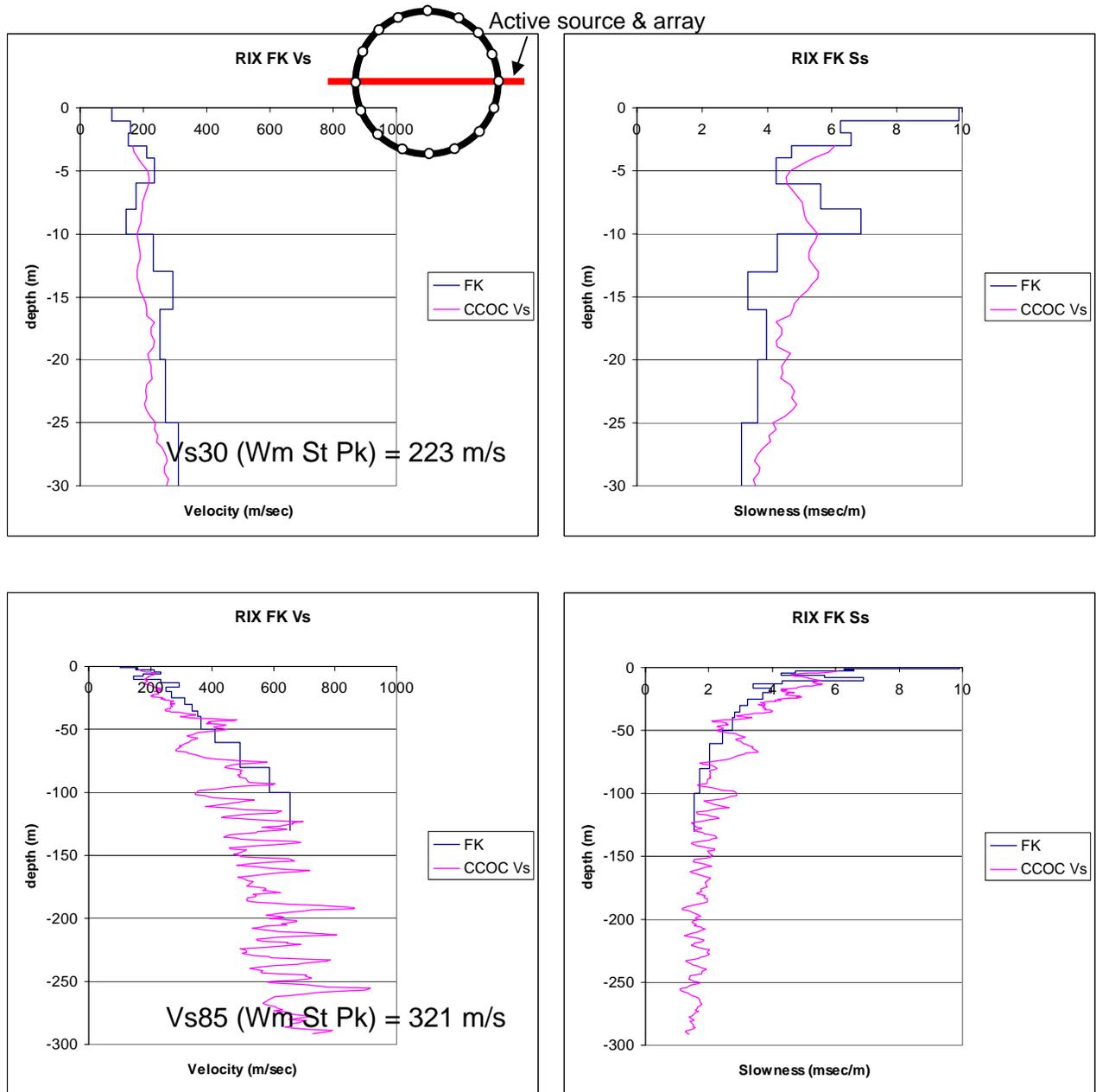


Fig. 14. Vs computed from FK analysis of combined linear spread with active source, plus circular array with microtremor (passive) source (after Rix) compared with CCOC-Vsm. Figure at top left shows the survey line used for the linear array of 15 geophones (variable spacings from 2.4 to 33.5 m) and the layout of a 16-station circular array (radii 30 to 50 m) for microtremor measurements.

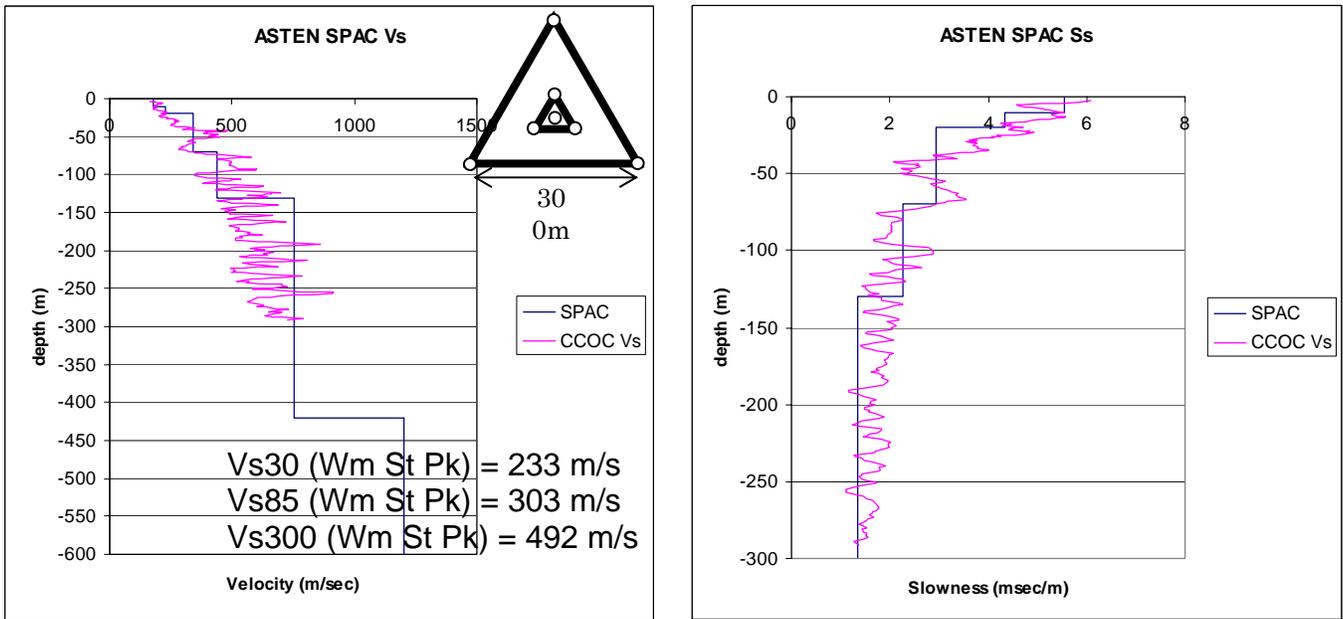


Fig. 15. Vs computed from MMSPAC analysis of microtremor data acquired with a nested triangular array in William St Park (after Asten) compared with CCOC-Vsm. Figure at top left shows the seven-station nested-triangle array geometry, where the inner and outer triangles have side lengths of 60 and 300 m respectively. The interface at 420 m corresponds with Franciscan Group basement marked on the seismic section shown in Figure 2.

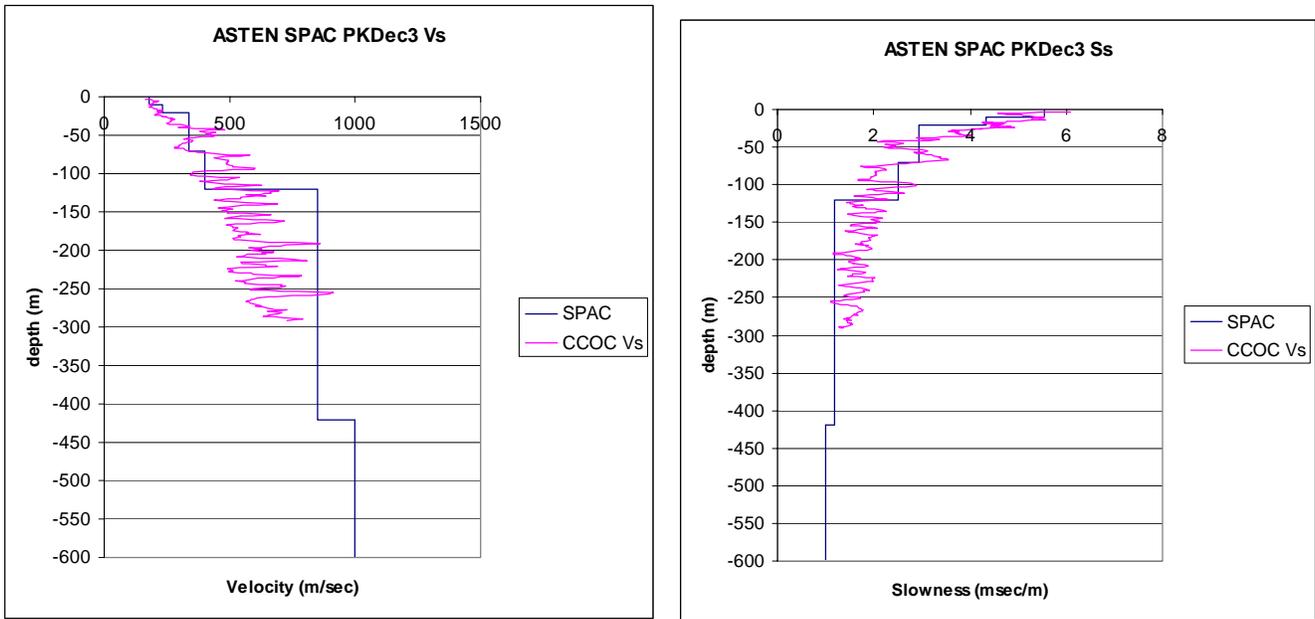


Fig. 16. Asten alternative blind model PKdec3, developed to give a better fit to HVSR data but worse fit to MMSPAC data.

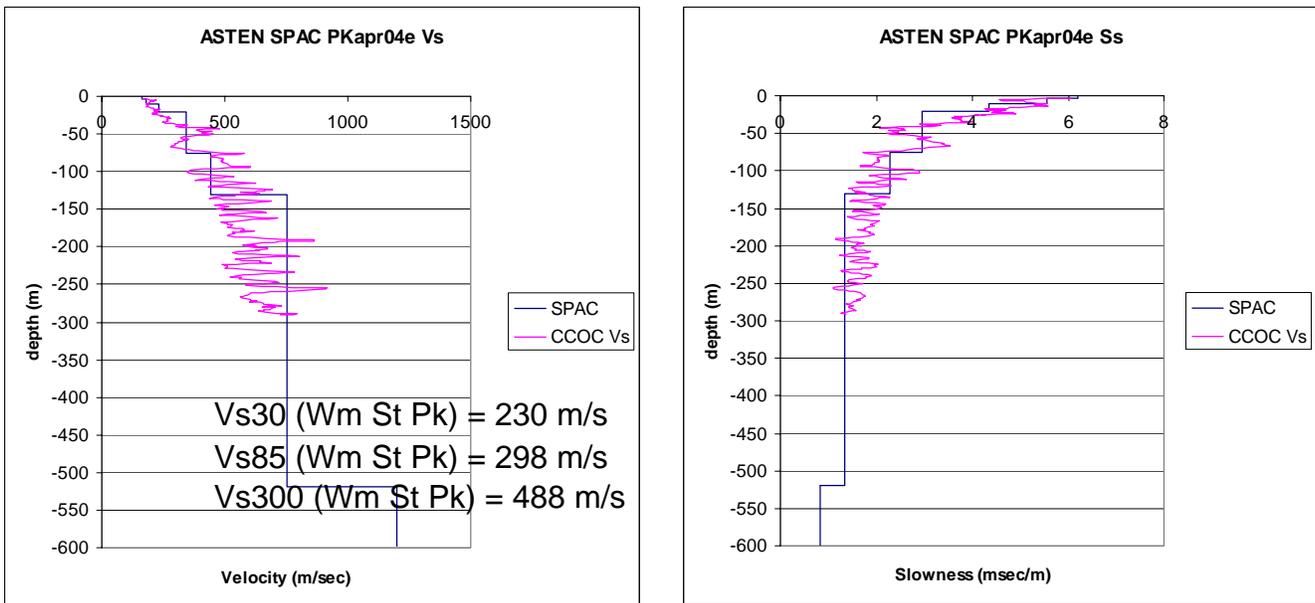


Fig. 17. Asten MMSPAC re-interpretation (not a blind study) when basement depth info at 1000 m became available. This model fits both SPAC and HVSR, and uses HVSR to constrain model at depth 500-1000m.

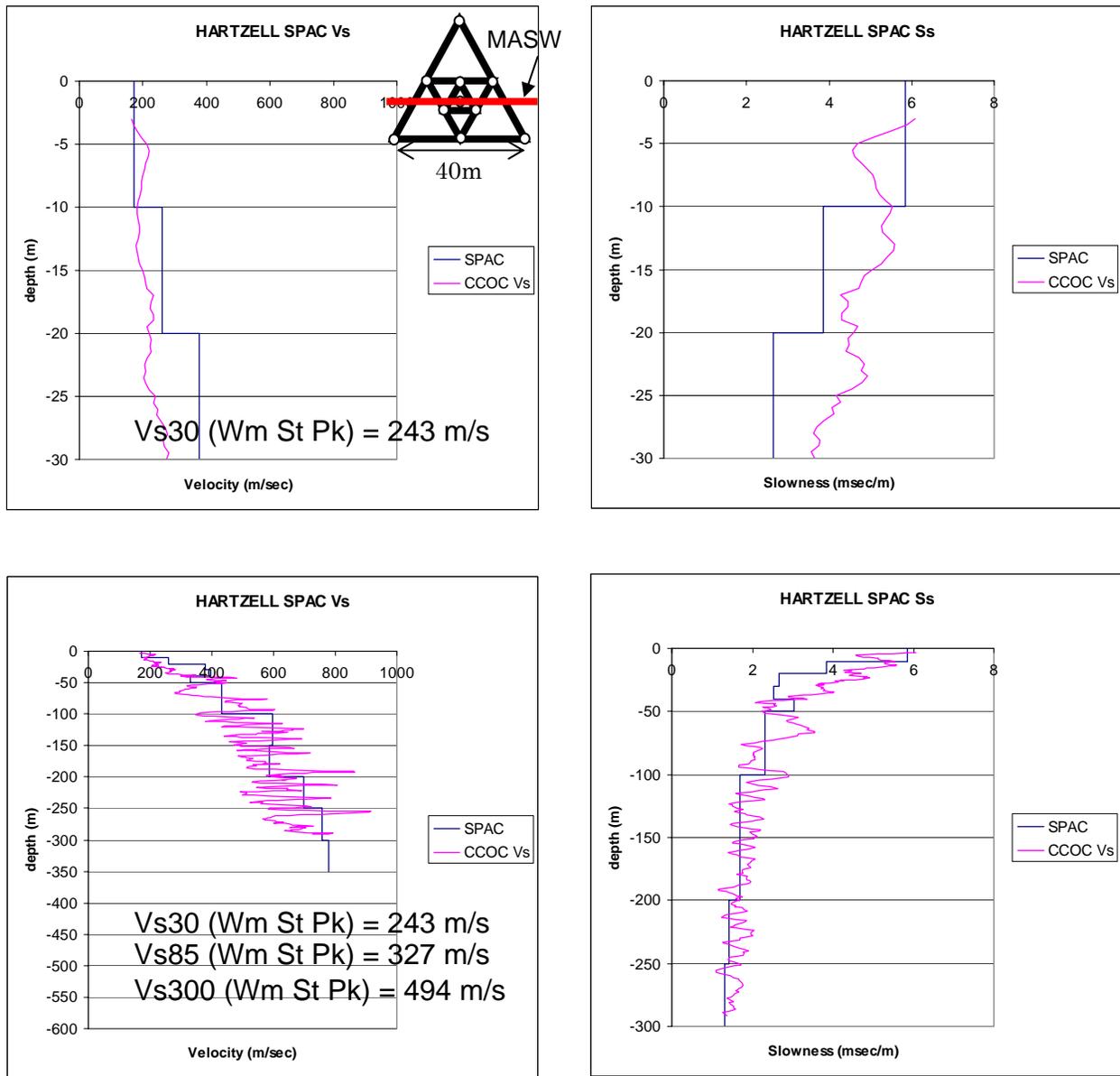


Fig. 18. Vs computed from SPAC analysis of microtremor data acquired with an array of three concurrent circles (or equivalently, nested triangles) in William St Park (after Hartzell) compared with CCOC-Vsm. Figure at top left shows the fifteen-station nested-triangle array geometry, where the three circles have radii 19, 33 and 57 m respectively.

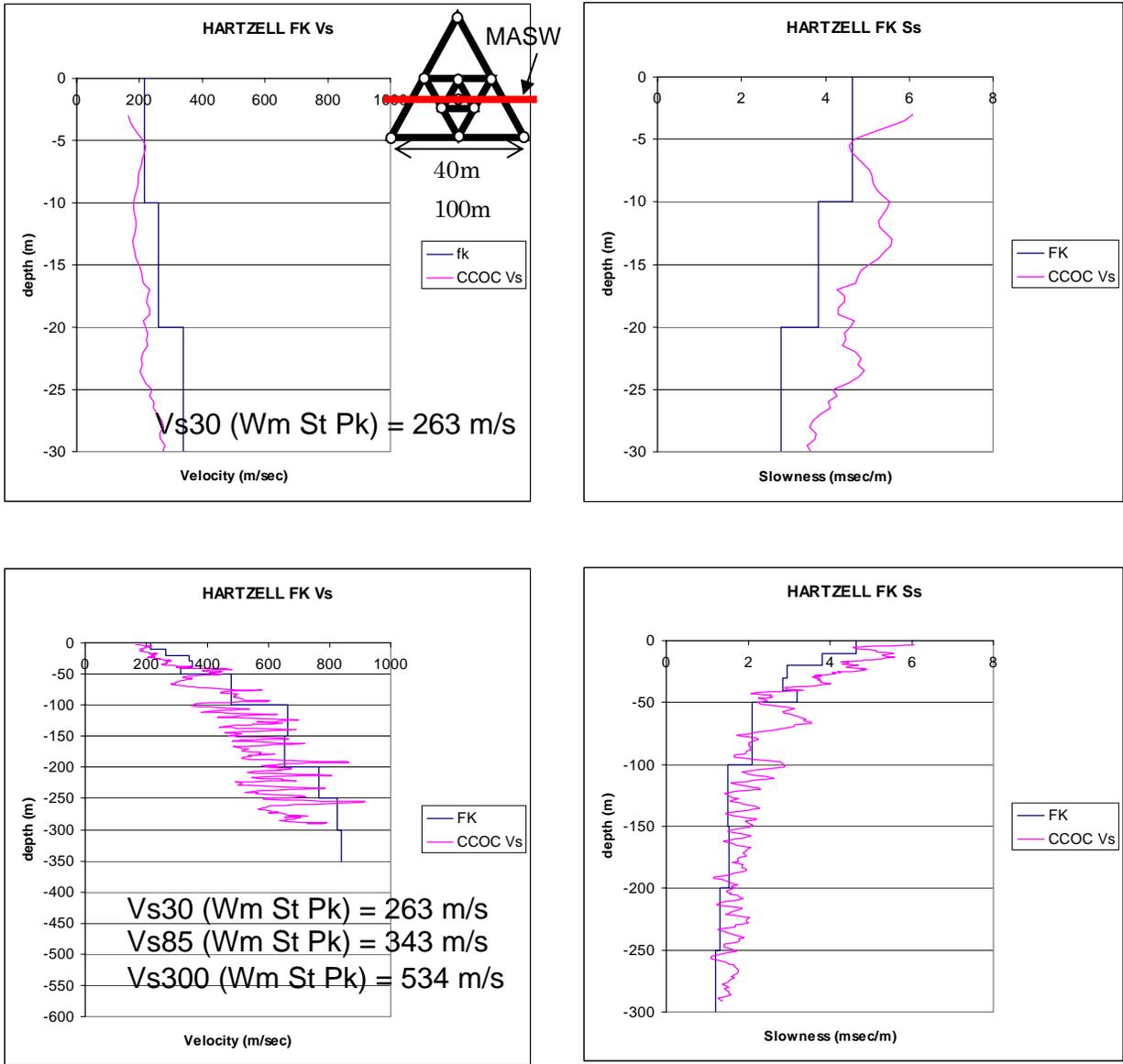


Fig. 19. Vs computed from FK analysis of same microtremor data as that shown in Fig. 18, acquired with an array of three concurrent circles (or equivalently, nested triangles) in William St Park (after Hartzell) compared with CCOC-Vsm. Figure at top left shows the fifteen-station nested-triangle array geometry, where the three circles have radii 19, 33 and 57 m respectively.

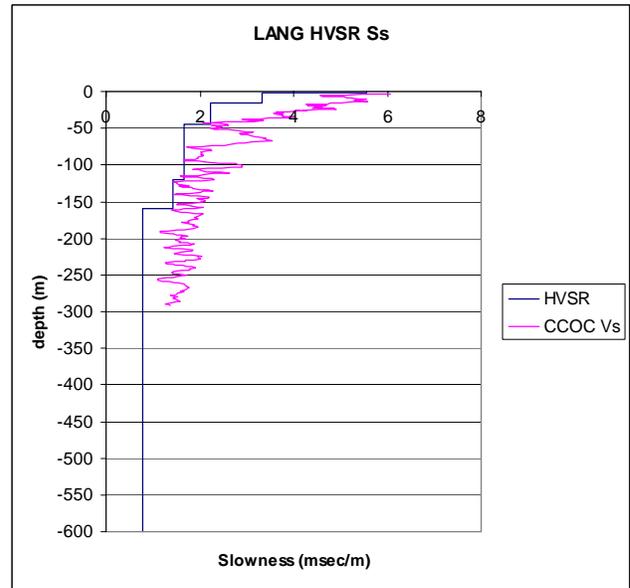
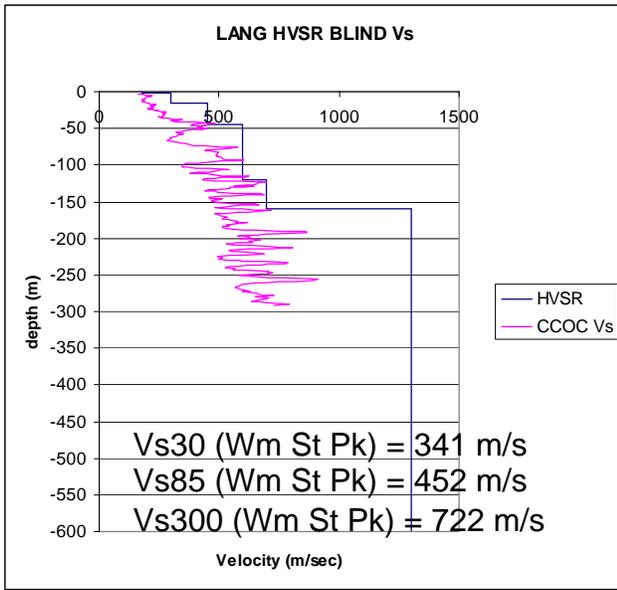


Fig. 20. Vs computed from HVSR (after Lang) compared with CCOC-Vsm; blind interpretation dated May04, based on noisy data.

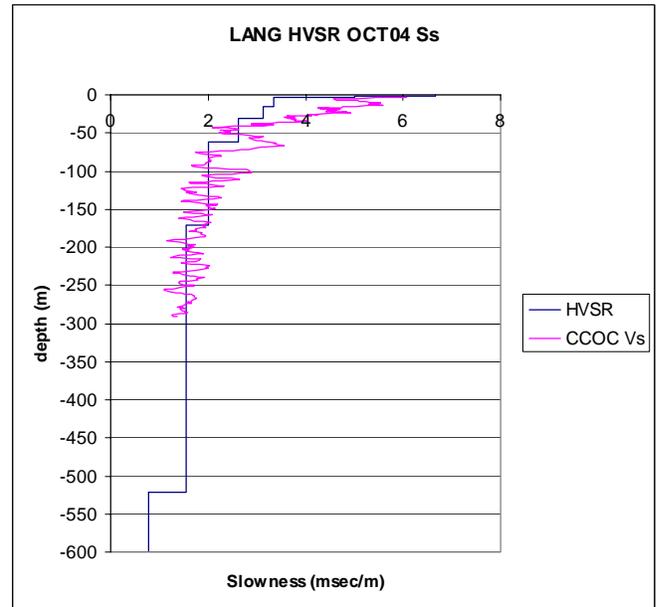
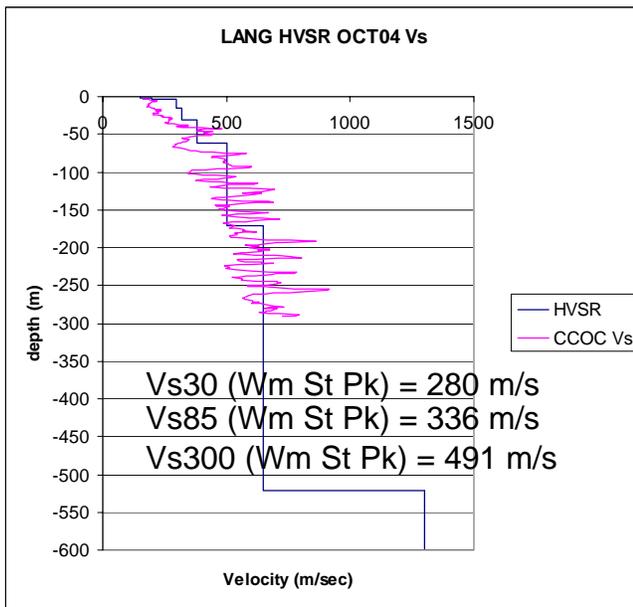


Fig. 21. Vs computed from HVSR (after Lang) compared with CCOC-Vsm; this comparison uses a new data set, and an interpretation dated Oct04.

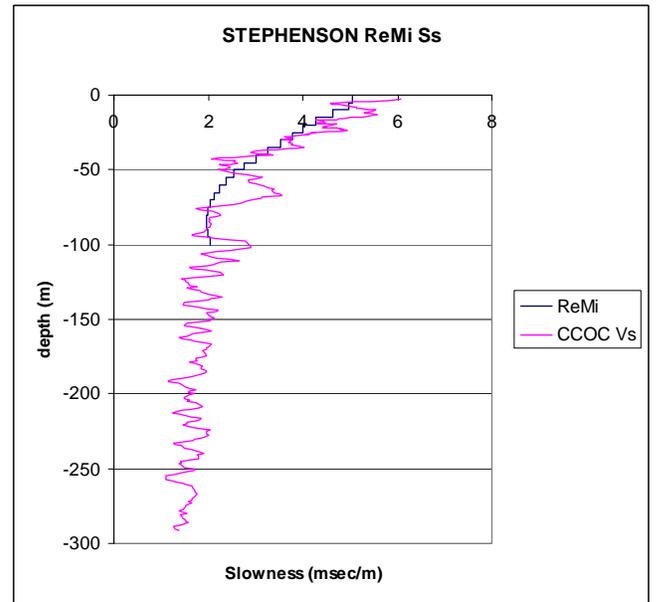
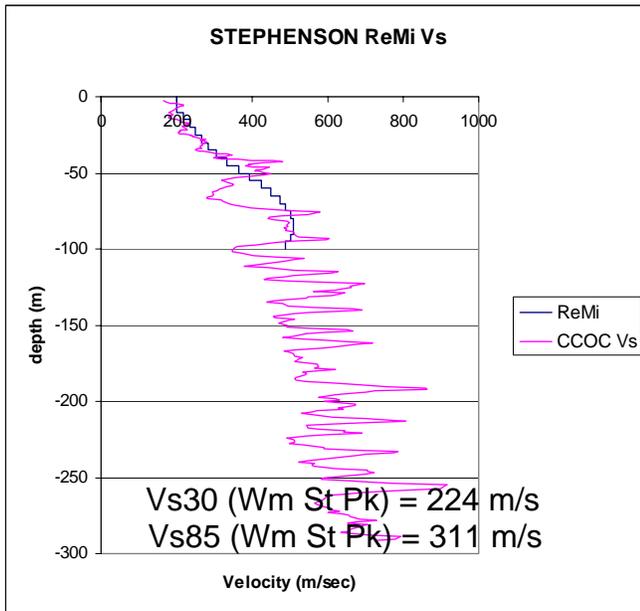
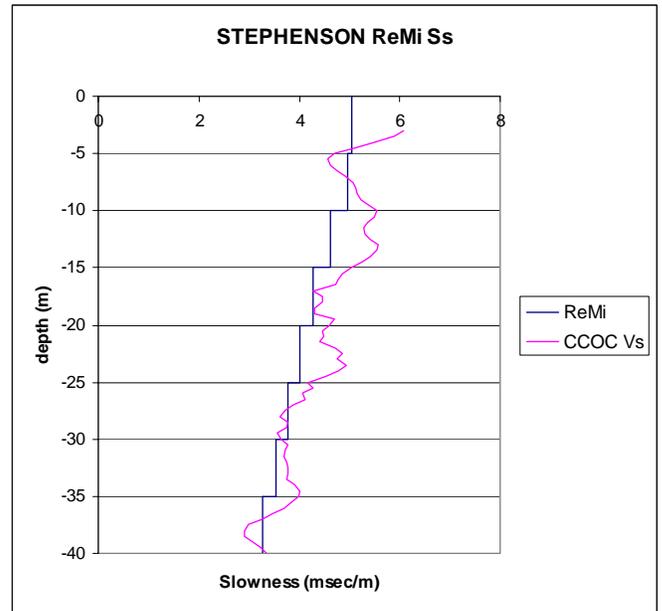
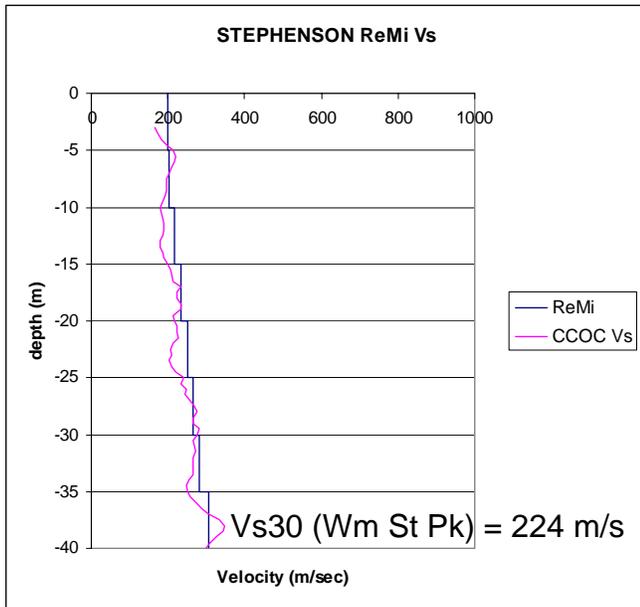


Fig. 22. Vs computed from ReMi analysis of microtremor data acquired with a linear array of geophones in William St Park (after Stephenson) compared with CCOC-Vsm. Compare also with active MASW method using the same array, Figure 12).